

# Solutions 07

**P7.1.** Let  $K$  be a field of positive characteristic  $p$ , and consider  $f := x^p - a \in K[x]$ . Assume that  $f$  has no roots in  $K$ , so the polynomial  $f$  is irreducible. Let  $\alpha$  be a root of  $f$  in some extension of  $K$ .

- i. Prove that  $K(\alpha)$  is the splitting field of  $f$  over  $K$  and  $[K(\alpha) : K] = p$ .
- ii. Let  $\beta \in K(\alpha) \setminus K$ . Prove that  $\beta^p \in K$ .
- iii. Prove that the minimal polynomial of  $\beta$  over  $K$  is  $x^p - \beta^p$ .
- iv. Prove that no element of  $K(\alpha) \setminus K$  is separable over  $K$ .

*Solution.*

- i. Since  $f$  is irreducible of degree  $p$ , it is the minimal polynomial of  $\alpha$  over  $K$ . It follows that  $[K(\alpha) : K] = p$ . In characteristic  $p$ , we have  $(x - \alpha)^p = x^p - \alpha^p = x^p - a$ . Hence, the polynomial  $f$  has a single root  $\alpha$  with multiplicity  $p$ . In particular,  $f$  splits completely over  $K(\alpha)$  and no smaller field can contain a root. Therefore,  $K(\alpha)$  is the splitting field of  $f$  over  $K$ .
- ii. Since  $\alpha$  is algebraic over  $K$ , we have  $K(\alpha) = K[\alpha]$ . Hence, every element  $\beta \in K(\alpha)$  can be written uniquely as

$$\beta = c_0 + c_1\alpha + c_2\alpha^2 + \cdots + c_{p-1}\alpha^{p-1} = \sum_{j=0}^{p-1} c_j\alpha^j,$$

where  $c_0, c_1, \dots, c_{p-1} \in K$ . In characteristic  $p$ , we obtain

$$\beta^p = \left( \sum_{j=0}^{p-1} c_j\alpha^j \right)^p = \sum_{j=0}^{p-1} c_j^p\alpha^{jp}.$$

Since  $c_j^p \in K$  and  $\alpha^p = a \in K$ , it follows that

$$\beta^p = \sum_{j=0}^{p-1} c_j^p a^j \in K.$$

Thus, every element of  $K(\alpha)$  has its  $p$ th power in  $K$ .

- iii. Part ii establishes that  $\beta^p \in K$ , so  $\beta$  is a root of  $g := x^p - \beta^p \in K[x]$ . As in part i, we observe that  $x^p - \beta^p = (x - \beta)^p$ , so this polynomial has only one root in any field extension. As  $\beta \notin K$ , its minimal polynomial of  $\beta$  over  $K$  has degree greater than 1. Since  $p$  is a prime integer and the minimal polynomial of  $\beta$  over  $K$  divides  $g$ , we deduce that this minimal polynomial must be  $g$ .
- iv. By part iii, the minimal polynomial of  $\beta \in K(\alpha) \setminus K$  is  $x^p - \beta^p = (x - \beta)^p$ . Since this polynomial has a single root  $\beta$  of multiplicity  $p$ , the element  $\beta$  is inseparable over  $K$ .  $\square$

**P7.2.** Let  $K$  be a field of positive characteristic  $p$  and let  $f \in K[x]$  be irreducible.

- i. Assume that the derivative  $f'$  is not identically zero. Show that  $f$  is separable.
- ii. Assume that  $f'$  is identically zero. Show that there exists a polynomial  $g_1 \in K[x]$  such that  $f(x) = g_1(x^p)$ .
- iii. Show that the polynomial  $g_1$  in part ii is irreducible.

- iv. Apply parts i–iii repeatedly to demonstrate that  $f(x) = g(x^{p^e})$  where  $e \in \mathbb{N}$  and  $g \in K[x]$  is irreducible and separable.

*Solution.*

- i. Since  $f$  is irreducible in  $K[x]$ , any nonconstant common divisor of  $f$  and  $f'$  must be  $f$  itself (up to a constant multiple). However,  $\deg(f') < \deg(f)$ , so  $f$  cannot divide  $f'$  unless  $f' = 0$ . Hence, we deduce that  $\gcd(f, f') = 1$  and the derivative criteria for separability establishes that  $f$  is separable.
- ii. Suppose that

$$f := a_0x^n + a_1x^{n-1} + \cdots + a_{n-1}x + a_n = \sum_{j=0}^n a_jx^{n-j}$$

where  $n \in \mathbb{N}$  and  $a_n, a_{n-1}, \dots, a_0 \in K$ . It follows that

$$f' = na_0x^{n-1} + (n-1)a_1x^{n-2} + \cdots + a_{n-1} = \sum_{j=1}^n (n-j)a_jx^{n-j-1} = \sum_{k=1}^n ka_{n-k}x^{k-1}.$$

Since the characteristic of  $K$  is  $p > 0$ , the derivative  $f'$  is identically zero if and only if  $ka_{n-k} = 0$  for all  $1 \leq k \leq n$ . This means that  $a_{n-k} = 0$  whenever  $p$  does not divide  $k$ . Hence, all exponents appearing in  $f$  are multiples of  $p$ , so we obtain

$$f = \sum_{k=0}^{\lfloor n/p \rfloor} a_{n-pk}x^{pk}.$$

Setting  $g_1 := \sum_{k=0}^{\lfloor n/p \rfloor} a_{n-pk}x^k$  gives  $f(x) = g_1(x^p)$ .

- iii. Suppose that the polynomial  $g_1 \in K[x]$  factors as  $g_1 = uv$  for some nonconstant  $u, v \in K[x]$ . It would follow that  $f(x) = g_1(x^p) = u(x^p)v(x^p)$  which would give a nontrivial factorization of  $f$ . However, this contradicts the irreducibility of  $f$ . Thus, the polynomial  $g_1 \in K[x]$  is irreducible.
- iv. When the derivative  $g'_1$  is not identically zero, part i implies that  $g_1$  is separable and we are done. If  $g'_1$  is identically zero, then part ii produces  $g_2 \in K[x]$  such that  $g_1(x) = g_2(x^p)$  and part iii shows that  $g_2$  is irreducible. Hence, we obtain  $f(x) = g_2(x^{p^2})$ . Repeating this process, we obtain  $f(x) = g_e(x^{p^e})$  for some  $e \in \mathbb{N}$  where  $g_e \in K[x]$  is irreducible and  $g'_e \neq 0$ . Again, part i implies that  $g_e$  is separable. Since the degree strictly decrease at each step, the process terminates after finitely many iterations.  $\square$

**P7.3.** Let  $K$  be a finite field, and let  $K \subseteq L$  be a finite extension. This exercise proves that there exists  $\alpha \in L$  such that  $L = K(\alpha)$  and  $\alpha$  is separable over  $K$ .

- i. Show that  $L$  is a finite field.
- ii. The set  $L^\times := L \setminus \{0\}$  is a finite group under multiplication. Since group theory (MATH310?) establishes that  $L^\times$  is a cyclic group, there exists an element  $\alpha \in L^\times$  that generates  $L^\times$  as a group. Prove that  $L = K(\alpha)$ .

- iii. Let  $m := |L| - 1$ . Show that  $\alpha^j$  is a root of  $x^m - 1 \in K[x]$  for all  $0 \leq j < m$ , and establish that

$$x^m - 1 = (x - 1)(x - \alpha)(x - \alpha^2) \cdots (x - \alpha^{m-1}) = \prod_{j=0}^{m-1} (x - \alpha^j).$$

- iv. Show that  $\alpha$  is separable over  $K$ .

*Solution.*

- i. Since  $K$  is a finite field, let  $q := |K|$  be its cardinality. There exists a positive  $n \in \mathbb{Z}$  such that  $n := [L : K] < \infty$ , because  $L$  is a finite field extension of  $K$ . From the  $K$ -vector space structure of  $L$ , we deduce that  $|L| = q^n$ , so  $L$  is a finite field.
- ii. The multiplicative group  $L^\times := L \setminus \{0\}$  is a finite abelian group. A theorem from group theory asserts that the multiplicative group of a finite field is cyclic. Hence, there exists  $\alpha \in L^\times$  such that  $L^\times = \{1, \alpha, \alpha^2, \dots, \alpha^{m-1}\}$  for some positive integer  $m$ , so every element of  $L$  lies in the subfield  $K(\alpha)$ . We deduce that  $L = K(\alpha)$ .
- iii. Since the cyclic group  $L^\times$  has order  $m$ , every nonzero element of  $L$  satisfies  $x^m = 1$ , because the order of every element in a group divides the order of the group. In particular, the element  $\alpha^j$  is a root of  $x^m - 1$  for all  $0 \leq j < m$ . Because the distinct elements  $1, \alpha, \alpha^2, \dots, \alpha^{m-1}$  are exactly the elements of  $L^\times$ , we obtain the factorization

$$x^m - 1 = (x - 1)(x - \alpha)(x - \alpha^2) \cdots (x - \alpha^{m-1}) = \prod_{j=0}^{m-1} (x - \alpha^j).$$

Since  $x^m - 1 \in K[x]$ , we see that this polynomial splits completely over  $L$ .

- iv. Part iii established

$$x^m - 1 = (x - 1)(x - \alpha)(x - \alpha^2) \cdots (x - \alpha^{m-1}) = \prod_{j=0}^{m-1} (x - \alpha^j)$$

where  $m := |L| - 1$  and the roots  $1, \alpha, \alpha^2, \dots, \alpha^{m-1}$  are distinct because  $\alpha$  generates the cyclic group  $L^\times$ . Since there are exactly  $m$  distinct roots and the polynomial has degree  $m$ , it follows that the polynomial  $x^m - 1$  has not repeated roots. The minimal polynomial  $p \in K[x]$  of  $\alpha$  over  $K$  divides  $x^m - 1$ . Since a divisor of a separable polynomial is again separable, the polynomial  $p$  has no repeated roots, so  $\alpha$  is separable over  $K$ .  $\square$