

# Binary Theta Series and Modular Forms with CM

## 1. Introduction

**Let**  $r_n(q) = \#\{(x, y) \in \mathbb{Z}^2 : q(x, y) = n\}$  denote the number of representations of  $n \in \mathbb{Z}$  by the positive definite binary quadratic form

$$q(x, y) = ax^2 + bxy + cy^2, \quad a, b, c \in \mathbb{Z}, a > 0.$$

**Fermat, Euler, Lagrange, Gauss:** When is  $r_n(q) > 0$ ?

**Dirichlet(1839), Weber(1882):** If  $\gcd(a, b, c) = 1$ , i.e. if  $q$  is primitive, then  $\exists_\infty$  primes  $p : r_p(q) > 0$ . — Study:

$$Z_q(s) = \sum_{n \geq 1} r_n(q) n^{-s}.$$

Following **Jacobi, Hermite, Kronecker, Weber**, consider the closely related binary theta series

$$\vartheta_q(z) = \sum_{x, y \in \mathbb{Z}} e^{2\pi i q(x, y)z} = \sum_{n \geq 0} r_n(q) e^{2\pi i n z}.$$

**Theorem 0 (a) Weber(1893):** Let  $D = \Delta(q) := b^2 - 4ac$  denote the discriminant of  $q$  and  $\psi_D = \left(\frac{D}{\cdot}\right)$ . Then

$$\vartheta_q\left(\frac{az + b}{cz + d}\right) = \psi_D(d)(cz + d)\vartheta_q(z), \quad \forall \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_0(|D|).$$

**(b) Hecke(1926), Schoeneberg(1939):**  $\vartheta_q$  is holomorphic at the cusps, so  $\vartheta_q \in M_1(|D|, \psi_D)$ .

**Fix:** a discriminant  $D < 0$ . Thus  $D \equiv 0, 1 \pmod{4}$  and

$$D = f_D^2 d_K, \quad \text{where } K = \mathbb{Q}(\sqrt{D}), d_K = \text{disc}(K), \text{ and } f_D \geq 1$$

is some integer. Let

$$\Theta_D := \langle \vartheta_q : q \in Q_D \rangle_{\mathbb{C}} \quad \text{and} \quad \Theta(D) := \langle \vartheta_q : q \in Q(D) \rangle_{\mathbb{C}}$$

be the  $\mathbb{C}$ -subspaces **generated by the theta-series**, where

$$Q(D) = \{q = (a, b, c) \in \mathbb{Z}^2 : a > 0, \Delta(q) = D/t^2\},$$

$$Q_D = \{q = (a, b, c) \in Q(D) : \gcd(a, b, c) = 1, \Delta(q) = D\}.$$

Thus

$$\Theta_D \subset \Theta(D) \subset M_1(|D|, \psi_D).$$

**Questions: 1)** How large are the spaces  $\Theta_D$  and  $\Theta(D)$ ?

What is the dimension of the subspaces of cusp forms, i.e. of

$$\Theta_D^S = \Theta_D \cap S_1(|D|, \psi_D) \quad \text{and} \quad \Theta(D)^S = \Theta(D) \cap S_1(|D|, \psi_D)?$$

**Hecke (1926):**  $\Theta(D) \neq M_1(|D|, \psi_D)$ , for many  $D$ 's.

**2)** How can a binary theta series  $\vartheta_q$  be expressed in terms of the (extended) **Atkin-Lehner basis** of  $M_1(|D|, \psi_D)$ ?

**3)** How does the **Hecke algebra**  $\mathbb{T}(D)$  act on these spaces? What are the  $L$ -functions of the Hecke eigenfunctions?

**4)** Is there an **intrinsic** characterization of these spaces?

## 2. Some Observations

1) The group  $\mathrm{GL}_2(\mathbb{Z})$  acts on the sets  $Q_D$  and  $Q(D)$ , and

$$\vartheta_{q'} = \vartheta_q, \quad \text{for all } q' \in q \mathrm{GL}_2(\mathbb{Z}).$$

By using the **Dirichlet/Weber** result, one can show that the set  $\{\vartheta_q : q \in Q_D / \mathrm{GL}_2(\mathbb{Z})\}$  is a **basis** of  $\Theta_D$ . In particular,

$$\dim \Theta_D = \bar{h}_D := |Q_D / \mathrm{GL}_2(\mathbb{Z})|.$$

2) By **Gauss's** theory of composition of forms, the set

$$\mathrm{Cl}(D) = Q_D / \mathrm{SL}_2(\mathbb{Z})$$

has the structure of an abelian group. If  $h_D := |\mathrm{Cl}(D)|$ , then

$$\bar{h}_D = \frac{1}{2}(g_D + h_D), \quad \text{where } g_D = [\mathrm{Cl}(D) : \mathrm{Cl}(D)^2]$$

denotes the **number of genera** of forms of discriminant  $D$ .

3) For a **character**  $\chi \in \mathrm{Cl}(D)^*$  on  $\mathrm{Cl}(D)$ , put

$$\vartheta_\chi(z) := \frac{1}{w_D} \sum_{q \in \mathrm{Cl}(D)} \chi(q) \vartheta_q(z) = \sum_{n \geq 0} a_n(\chi) e^{2\pi i n z} \in \Theta_D,$$

where  $w_D = 2$  for  $D < -4$  and  $w_{-3} = 6, m_{-4} = 4$ .

It is immediate that  $\{\vartheta_\chi\}_{\chi \in \mathrm{Cl}(D)^*}$  generates  $\Theta_D$  and hence by 1) forms a basis of  $\Theta_D$  (subject to the identification  $\vartheta_{\bar{\chi}} = \vartheta_\chi$ ).

**Note:** It turns out (cf. Theorem 1) that the coefficients  $a_n(\chi)$  are **multiplicative** in  $n$ , and that hence  $\vartheta_\chi$  is a **Hecke eigenfunction** w.r.t. to the Hecke algebra  $\mathbb{T}(D)$  generated by the Hecke operators  $T_p$  with  $(p, D) = 1$ .

4) The  $L$ -function associated to the form  $\vartheta_\chi$  is

$$L(s, \chi) := L(s, \vartheta_\chi) = \sum_{n \geq 1} a_n(\chi) n^{-s}.$$

This function is frequently found in the literature (e.g., in Lang, *Elliptic Functions*, 1<sup>st</sup> ed.), and was recently studied in detail by Z.-H. Sun and K. S. Williams (2006) (but without mentioning characters or modular forms).

5) If  $D$  is a fundamental discriminant, i.e., if  $D = d_K$ , then it is well-known that each  $\vartheta_\chi$  is a primitive form (newform) and hence in this case the  $\vartheta_\chi$ 's are part of the canonical Atkin-Lehner basis of  $M_1(|D|, \psi_D)$ .

However, in the general case this is no longer true for every  $\chi \in \text{Cl}(D)^*$  because some of the characters  $\chi \in \text{Cl}(D)^*$  are not primitive, i.e., they are lifts

$$\chi = \chi' \circ \pi \quad \text{of characters} \quad \chi' \in \text{Cl}(D')^*$$

of some “lower level”  $D'|D$  (where  $\frac{D}{D'} = t^2 > 1$ ) via the canonical surjection

$$\pi = \pi_{D, D'} : \text{Cl}(D) \rightarrow \text{Cl}(D').$$

### 3. Main Results

**Theorem 1:** The space  $\Theta_D$  is a  $\mathbb{T}(D)$ -submodule of  $M_1(|D|, \psi_D)$  of **multiplicity one**, and has a canonical basis  $\{\vartheta_\chi\}$  consisting of normalized  $\mathbb{T}(D)$ -eigenforms. Furthermore,  $\vartheta_\chi$  is a cusp form if and only if  $\chi$  is not a quadratic character.

**Theorem 2:** We have  $\Theta_D = \Theta_D^E \oplus \Theta_D^S$ , where

$\Theta_D^E = \Theta_D \cap E_1(|D|, \psi_D)$  denotes the **Eisenstein space part** and  $\Theta_D^S = \Theta_D \cap S_1(|D|, \psi)$  denotes the **cusp space part** of  $\Theta_D$ , and

$$(1) \quad \dim \Theta_D^E = g_D \quad \text{and} \quad \dim \Theta_D^S = \frac{1}{2}(h_D - g_D).$$

**Remark:** Thus  $\Theta_D^S = 0 \Leftrightarrow h_D = g_D \stackrel{\text{def}}{\Leftrightarrow} D$  is an **idoneal discriminant**. (This implies a result of **Kitaoka (1971)**.)

**Theorem 3:** Let  $\chi \in \text{Cl}(D)^*$ , where  $D = f_D^2 d_K$ .

(a)  $\exists!$  divisor  $f_\chi | f_D$  and a unique **primitive character**  $\chi_{pr} \in \text{Cl}(D_\chi)$ , where  $D_\chi = f_\chi^2 d_K$ , such that  $\chi = \chi_{pr} \circ \bar{\pi}_{D, D_\chi}$ .

(b) The form  $\vartheta_{\chi_{pr}} \in \Theta_{D_\chi}$  is a **primitive form (newform)** of level  $|D_\chi|$ . Moreover, there exist constants  $c_n(\chi) \in \mathbb{R}$  such that

$$(2) \quad \vartheta_\chi(z) = \sum_{n|\bar{f}_\chi^2} c_n(\chi) \vartheta_{\chi_{pr}}(nz),$$

where  $\bar{f}_\chi = f_D / f_\chi$ . Furthermore, the function  $n \mapsto c_n(\chi)$  is multiplicative and has the generating function

$$(3) \quad C(s, \chi) := \sum_{n|\bar{f}_\chi^2} c_n(\chi) n^{-s} = L(s, \vartheta_\chi) / L(s, \vartheta_{\chi_{pr}}).$$

**Remark:** While  $L(s, \vartheta_{\chi_{pr}})$  is a classical Hecke  $L$ -function associated to a **Hecke character** and hence is well-understood, the  $L$ -function  $L(s, \vartheta_\chi)$  is more complicated and is, in fact, **unknown in general**.

Thus, (3) does not help in determining the constants  $c_n(\chi)$ . However,  $C(s, \chi)$  can be computed directly by using facts about ideals in **quadratic orders**.

**As a consequence**, we thus obtain an explicit expression for the  $L$ -function  $L(s, \chi) = L(s, \vartheta_\chi)$  :

**Corollary:** If  $\chi \in \text{Cl}(D)^*$ , then  $L(s, \chi)$  has the Euler product

$$(4) \quad L(s, \chi) = \prod_p L_p(s, \chi)$$

where for  $p \nmid \bar{f}_\chi$  the  $p$ -Euler factor  $L_p(s, \chi)$  is given by

$$\begin{aligned} L_p(s, \chi) &= (1 - a_p(\chi)p^{-s} + \psi_D(p)p^{-2s})^{-1} \\ &= (1 - a_p(\chi_{pr})p^{-s} + \psi_{D_\chi}(p)p^{-2s})^{-1}, \end{aligned}$$

whereas for  $p \mid \bar{f}_\chi$  (and  $p^{\bar{e}_p} \parallel \bar{f}_\chi$ ), it is given by

$$L_p(s, \chi) = \frac{1 - p^{(1-2s)\bar{e}_p}}{1 - p^{1-2s}} + \frac{\left(1 - \frac{1}{p}\psi_{D_\chi}(p)\right) p^{(1-2s)\bar{e}_p}}{1 - a_p(\chi_{pr})p^{-s} + \psi_{D_\chi}(p)p^{-2s}}.$$

**Remark:** This generalizes the work of **Sun and Williams (2006)** (for  $D < 0$ ), who obtained a formula for the  $p$ -Euler factors of  $L(s, \chi)$  in the case that the class group  $\text{Cl}(D)$  is **cyclic**.

**4. An example:**  $D = -144 = -4 \cdot 6^2$ .

**Put**  $q_0 = (1, 0, 36), q_1 = (4, 0, 9), q_2 = (5, 4, 8), q_3 = (5, -4, 8) \in Q_D$ .

**Then**  $\text{Cl}(D) = \{cl(q_0), cl(q_1), cl(q_2), cl(q_3)\} = \langle cl(q_2) \rangle \simeq \mathbb{Z}/4\mathbb{Z}$ ,  
 $\text{Cl}(D)^* = \langle \chi \rangle = \{1, \chi, \chi^2, \chi^3 = \bar{\chi}\}, \chi(q_2) = i$ .

$\Rightarrow h_D = 4, g_D = 2$ , so **Obs. 1,2**  $\Rightarrow \dim \Theta_D = \bar{h}_D = \frac{1}{2}(4+2) = 3$ .

**Thus, Obs. 1, 3**  $\Rightarrow \Theta_D = \langle \vartheta_{q_0}, \vartheta_{q_1}, \vartheta_{q_2} \rangle_{\mathbb{C}} = \langle \vartheta_1, \vartheta_{\chi}, \vartheta_{\chi^2} \rangle_{\mathbb{C}}$ , with

$$\vartheta_1 = \frac{1}{2}(\vartheta_{q_0} + \vartheta_{q_1} + 2\vartheta_{q_2}), \vartheta_{\chi} = \frac{1}{2}(\vartheta_{q_0} - \vartheta_{q_1}), \vartheta_{\chi^2} = \frac{1}{2}(\vartheta_{q_0} + \vartheta_{q_1} - 2\vartheta_{q_2}).$$

**Moreover, Theorems 1, 2**  $\Rightarrow \Theta_D = \Theta_D^E \oplus \Theta_D^S$ , where

$$\Theta_D^E = \langle \vartheta_1, \vartheta_{\chi^2} \rangle_{\mathbb{C}} \quad \text{and} \quad \Theta_D^S = \langle \vartheta_{\chi} \rangle_{\mathbb{C}}.$$

**Since**  $h_{D/2^2} = h_{-36} = 2$  and  $h_{D/3^2} = h_{-16} = 1$ , we see that

$\chi$  is **primitive** (i.e.,  $f_{\chi} = 6$ ) and  $\chi^2$  has conductor  $f_{\chi^2} = 3$ .

**Thus, Theorems 1, 3**  $\Rightarrow \vartheta_{\chi}$  is a **newform** of level 144; in fact,

$\vartheta_{\chi}(z) = \eta(12z)^2$ , where  $\eta(z)$  is the **Dedekind eta-function**.

**Recall: 1) (Genus theory)** The **quadratic** characters in  $\text{Cl}(D)^*$  are described (explicitly) by pairs of Dirichlet characters.

**2) (Hecke)** If  $\chi_1, \chi_2$  are **Dirichlet characters** whose product  $\chi := \chi_1\chi_2$  is **odd**, and if  $N = \text{cond}(\chi_1)\text{cond}(\chi_2)$ , then

$\exists! f_1(\cdot, \chi_1, \chi_2) \in M_1(N, \chi)$  with  $L(s, f_1) = L(s, \chi_1)L(s, \chi_2)$ .

**Thus,** the **primitive forms** associated to  $1 = \chi^4$  and  $\chi^2$  are

$$\vartheta_K := f_1(\cdot; 1, \psi_{-4}) \quad \text{and} \quad \vartheta_{36} := f_1(\cdot; \psi_{-3}, \psi_{12}),$$

and hence by **Theorem 3** we have that

$$\vartheta_1(z) = \sum_{n|36} c_n \vartheta_K(nz) \quad \text{and} \quad \vartheta_{\chi^2}(z) = \sum_{n|4} c'_n \vartheta_{36}(nz).$$

By using **Theorem 3** and its **Corollary**, the coefficients  $c_n$  and  $c'_n$  can be determined explicitly:

$$C(s, 1) := \sum_{n|36} c_n n^{-s} = (1 - 2^{-s} + 2^{1-2s})(1 + 3^{1-2s}),$$

$$C(s, \chi^2) := \sum_{n|4} c'_n n^{-s} = (1 - 2^{-s} + 2^{1-2s});$$

here we used the fact that for all primes  $p$ ,

$$a_p((1)_{pr}) = 1 + \psi_{-4}(p) \quad \text{and} \quad a_p((\chi^2)_{pr}) = \psi_{-3}(p) + \psi_{12}(p),$$

which can be calculated explicitly. (We need only  $p = 2, 3$ .)

**Comparing coefficients**, we thus see that

$$c_1 = 1, \quad c_2 = -1, \quad c_4 = 2, \quad c_9 = 3, \quad c_{18} = -3, \quad c_{36} = 6,$$

and that  $c_n = 0$  otherwise, and so

$$\vartheta_1(z) = \vartheta_K(z) - \vartheta_K(2z) + 2\vartheta_K(4z) + 3\vartheta_K(9z) - 3\vartheta_K(18z) + 6\vartheta_K(36z).$$

Similarly,  $c'_1 = 1, c'_2 = -1, c'_4 = 2$  and so

$$\vartheta_{\chi^2} = \vartheta_{36}(z) - \vartheta_{36}(2z) + 2\vartheta_{36}(4z).$$

**Note** that the  $L$ -functions of  $\vartheta_1$  and  $\vartheta_{\chi^2}$  are

$$\begin{aligned} L(s, \vartheta_1) &= C(s, 1)L(s, (1)_{pr}) \\ &= (1 - 2^{-s} + 2^{1-2s})(1 + 3^{1-2s})\zeta_K(s), \\ L(s, \vartheta_{\chi^2}) &= C(s, \chi^2)L(s, (\chi^2)_{pr}) \\ &= (1 - 2^{-s} + 2^{1-2s})L(s, \psi_{-3})L(s, \psi_{12}), \end{aligned}$$

where  $\zeta_K(s) = L(s, \vartheta_K)$  is the **Dedekind zeta-function** of the field  $K := \mathbb{Q}(\sqrt{D}) = \mathbb{Q}(i)$ .



## 5. Main Results II: CM-forms

**Definition:** Let  $f \in M_k(N, \psi)$  be a  $\mathbb{T}(N)$ -eigenfunction with eigencharacter  $\lambda_f : \mathbb{T}(N) \rightarrow \mathbb{C}$ . We say that  $f$  has **CM (complex multiplication)** by a Dirichlet character  $\theta$  if

$$\lambda_f(T_p)\theta(p) = \lambda_f(T_p), \quad \text{for all } p \nmid N\text{cond}(\theta),$$

or, equivalently, if

$$\lambda_f(T_p) = 0, \quad \text{for all } p \nmid N\text{cond}(\theta) \text{ with } \theta(p) \neq 1.$$

**Notation:** We let  $M_k^{CM}(N, \psi; \theta)$  denote the space generated by all  $\mathbb{T}(N)$ -eigenfunctions  $f \in M_k(N, \psi)$  which have CM by  $\theta$ .

**Theorem 4:** For every discriminant  $D < 0$  we have that

$$(5) \quad \Theta(D) = M_1^{CM}(|D|, \psi_D) := M_1^{CM}(|D|, \psi_D; \psi_D).$$

**Corollary:**

$$(6) \quad \dim \Theta(D) = \dim M_1^{CM}(|D|, \psi_D) = \sum_{f|f_D} 2^{\omega(f)} \bar{h}_{D/f^2},$$

where  $\omega(f)$  denotes the number of distinct prime divisors of  $f$ . Moreover, the dimensions of the **Eisenstein part** and of the **cuspidal part** of  $M_1^{CM}(|D|, \psi_D)$  are given by

$$\begin{aligned} \dim E_1^{CM}(|D|, \psi_D) &= \sum_{f|f_D} 2^{\omega(f)} g_{D/f^2}, \\ \dim S_1^{CM}(|D|, \psi_D) &= \sum_{f|f_D} 2^{\omega(f)} (f_{D/f^2} - g_{D/f^2}). \end{aligned}$$

**Remark:** There is **no** (known) formula for  $\dim M_1(|D|, \psi_D)$ .

## 6. Example: $D = -144$ (again)

Put  $\mathcal{D} = \{-4, -16, -36, -144\}$ . Then by definition

$$\Theta(D) := \langle \vartheta_q : \Delta(q) \in \mathcal{D} \rangle.$$

By **Theorem 4**:

$$\Theta(D) = M_1^{CM}(144, \psi_{-144}) = E_1^{CM}(144, \psi_{-144}) \oplus S_1^{CM}(144, \psi_{-144}).$$

Since  $h_D = g_D$ , for  $D \in \mathcal{D}$ ,  $D \neq -144$ , the **Corollary**  $\Rightarrow$

$$\dim S_1^{CM}(144, \psi_{-144}) = \frac{1}{2}(2^0(h_{-144} - g_{-144})) = \frac{1}{2}(4 - 2) = 1,$$

and so it follows that

$$S_1^{CM}(144, \psi_{-144}) = \mathbb{C}\vartheta_\chi(z) = \mathbb{C}\eta(12z)^2.$$

Moreover, from the **Corollary** we also have that

$$\begin{aligned} \dim E_1^{CM}(144, \psi_{-144}) &= 2^{\omega(6)}g_{-4} + 2^{\omega(3)}g_{-16} + 2^{\omega(2)}g_{-36} + g_{-144} \\ &= 4 \cdot 1 + 2 \cdot 1 + 2 \cdot 2 + 2 = 12. \end{aligned}$$

Now  $E_1^{CM}(144, \psi_{-144})$  contains two  $\mathbb{T}(144)$ -eigenspaces given by the eigenfunctions (**primitive forms**)  $\vartheta_K$  and  $\vartheta_{36}$  :

$$\begin{aligned} M_1(144, \psi_{-144})[\lambda_{\vartheta_K}] &= \langle \vartheta_K(nz) : n|36 \rangle, \\ M_1(144, \psi_{-144})[\lambda_{\vartheta_{36}}] &= \langle \vartheta_{36}(nz) : n|4 \rangle, \end{aligned}$$

which have dimension  $d(36) = 9$  and  $d(4) = 3$ , respectively.

**Thus**

$$E_1^{CM}(144, \psi_{-144}) = M_1(144, \psi_{-144})[\lambda_{\vartheta_K}] \oplus M_1(144, \psi_{-144})[\lambda_{\vartheta_{36}}].$$

## 7. Ingredients

### 1) Dedekind's Isomorphism:

$$\lambda_D : \text{Cl}(D) \xrightarrow{\sim} \text{Pic}(\mathfrak{O}_D),$$

where  $\mathfrak{O}_D = \mathbb{Z} + \mathbb{Z}\frac{D+\sqrt{D}}{2} \subset \mathfrak{O}_K$  is the **order** of discriminant  $D$  (and/or of conductor  $f_D$  in  $K$ ).

### 2) A **classification** of the invertible ideals of $\mathfrak{O}_D$ :

$\Rightarrow$  the multiplicativity of  $a_n(\chi)$ ,  
the value of  $c_n(\chi)$  for  $n|D$ , etc.

### 3) A study of the **conductor** of $\chi \in \text{Cl}(D)^*$ : via the isomorphism

$$I_K(f_D\mathfrak{O}_K)/P_{K,\mathbb{Z}}(f_D) \xrightarrow{\sim} \text{Pic}(\mathfrak{O}_D),$$

one can identify each  $\chi \in \text{Cl}(D)^*$  with a **Hecke character**  $\tilde{\chi}$  on the group  $I_K(f_D\mathfrak{O}_K)$  of fractional ideals prime to the ideal  $f_D\mathfrak{O}_K$ . A **key fact** is:

$$\chi \text{ is primitive on } \text{Cl}(D) \Leftrightarrow \tilde{\chi} \text{ is primitive mod } f_D\mathfrak{O}_K.$$

### 4) Genus theory (**Gauss/Kronecker/Weber**): identifies **quadratic** characters $\chi \in \text{Cl}(D)^*$ with **certain** Dirichlet characters.

### 5) Extended Atkin-Lehner (newform) theory: this describes:

1) the characters  $\lambda \in \mathbb{T}(N)^* = \text{Hom}(\mathbb{T}(N), \mathbb{C})$  of the Hecke algebra  $\mathbb{T}(N) \subset \text{End}(M_k(N, \psi))$  in terms of **primitive** eigenfunctions (newforms);

2) the structure of the  $\mathbb{T}(N)$ -**eigenspace** associated to  $\lambda$ :

$$M_k(N, \psi)[\lambda] = \{f \in M_k(N, \psi) : f|_k T_n = \lambda(T_n)f, \forall (n, N) = 1\}$$

For **Theorem 4**, we also need:

**6)** (a) The **Deligne/Serre theory** of **Galois representations**

$$\rho_f : G_{\mathbb{Q}} = \text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q}) \rightarrow \text{GL}_2(\mathbb{C})$$

attached to  $\mathbb{T}(N)$ -eigenfunctions  $f \in M_1(N, \psi)$ .

(b) A characterization of characters of ring class fields via **(strongly) dihedral** Galois representations of  $G_{\mathbb{Q}}$  (= reinterpretation of a result of **Bruckner (1966)**).

(c) A characterization of **CM forms** via their associated Galois representations ( $\rightarrow$  **Theorem 5** below).

## 8. Galois representations

**Deligne/Serre (1974):** If  $f \in M_1(N, \psi)$  is a normalized  $\mathbb{T}(N)$ -eigenfunction, then  $\exists!$  Galois representation

$$\rho_f : G_{\mathbb{Q}} \rightarrow \mathrm{GL}_2(\mathbb{C})$$

such that for all primes  $p \nmid N$

$$\begin{aligned} \mathrm{tr}(\rho_f(Fr_p)) &= \lambda_f(T_p) = a_p(f), \\ \mathrm{det}(\rho_f(Fr_p)) &= \psi(p). \end{aligned}$$

Furthermore,  $\rho_f$  is **irreducible**  $\Leftrightarrow f$  is a cusp form.

**Definition:** An Galois representation  $\rho : G_{\mathbb{Q}} \rightarrow \mathrm{GL}_2(\mathbb{C})$  is called **strongly dihedral** if  $\mathrm{Im}(\rho) \simeq D_n$  is a dihedral group ( $n \geq 3$ ).

Moreover,  $\rho$  is said to be of **dihedral type** if  $\mathrm{Im}(\rho)/Z(\mathrm{Im}(\rho)) \simeq D_n$  is a dihedral group ( $n \geq 2$ ).

**Theorem 5:** Let  $f \in S_1(N, \psi)$  be a newform.

- (a)  $f$  has CM by some character  $\theta \Leftrightarrow \rho_f$  is of dihedral type.
- (b)  $f$  has CM by  $\psi \Leftrightarrow \rho_f$  is strongly dihedral.

**Theorem 6:** Let  $\rho : G \rightarrow \mathrm{GL}_2(\mathbb{C})$  be Galois representation.

- (a) **(Hecke)** If  $\rho$  is of dihedral type and is odd, then  $\rho = \rho_f$  for some  $f \in S_1(N, \psi)$ .
- (b) **(Bruckner, 1966)**  $\rho$  is strongly dihedral if and only if the field  $\mathrm{Fix}(\mathrm{Ker}(\rho))$  is contained in some **ring class field**.

**Remark:** Theorems 3, 5, 6  $\Rightarrow$  Theorem 4.