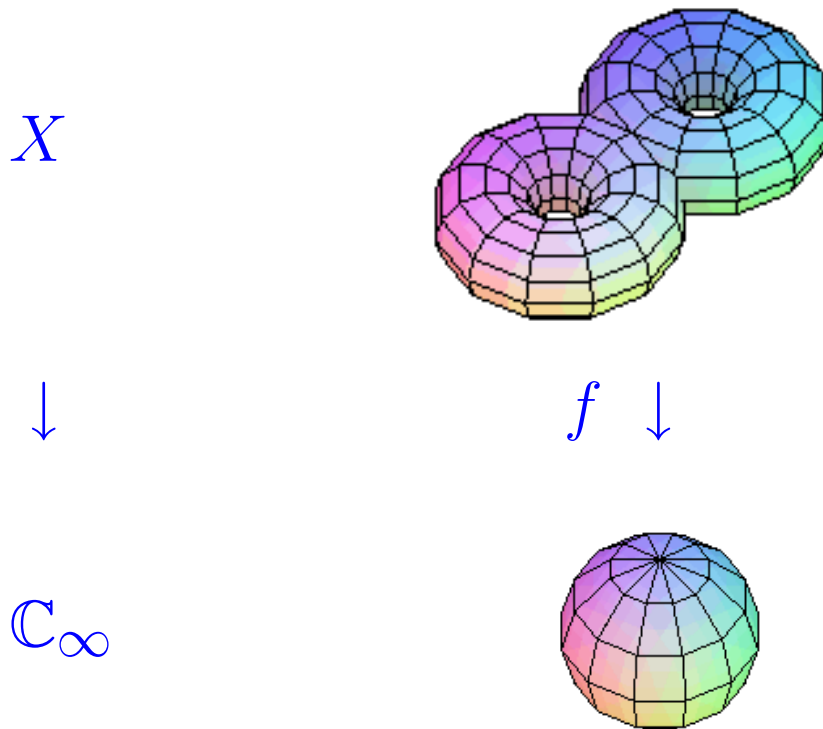


# Hurwitz Spaces of Covers of an Elliptic Curve

## 1. Introduction

### Riemann's Existence Theorem (RET) (1857):

Every compact Riemann surface  $X$  has a non-constant meromorphic function, i.e.  $X$  admits a non-constant holo. map to the Riemann sphere  $\mathbb{C}_\infty = \mathbb{C} \cup \{\infty\}$ .



**Consequence:** Every compact Riemann surface is a complex algebraic curve  $X_{\mathbb{C}}$  defined by an equation

$$F(x, y) = 0, \quad \text{where } F \in \mathbb{C}[x, y],$$

and the (holomorphic) map  $f : X \rightarrow \mathbb{C}_{\infty}$  corresponds to a morphism  $f : X_{\mathbb{C}} \rightarrow \mathbb{P}_{\mathbb{C}}^1$  of complex curves of the same degree (and conversely):

$$\begin{array}{ccc} X & & X_{\mathbb{C}} \\ f \downarrow & \leftrightarrow & \downarrow f \\ \mathbb{C}_{\infty} & & \mathbb{P}_{\mathbb{C}}^1 \end{array}$$

**Properties** of  $f$ :

- 1)  $\deg(f) := \max_{y \in \mathbb{C}_{\infty}} (\#(f^{-1}(y))) < \infty$ .
- 2) The set

$$R_f := \{y \in \mathbb{C}_{\infty} : \#(f^{-1}(y)) < \deg(f)\}$$

of ramification points of  $f$  is finite:

$$w := \#R_f < \infty.$$

**Problem (Hurwitz, 1891)** Fix integers  $N$  and  $w$ , and put  $Y = \mathbb{C}_\infty$ .

1) Investigate the totality  $H(Y, N, w)$  of all covers  $f : X \rightarrow Y$  with  $\deg(f) = N$  and  $\#R_f = w$ .

2) Calculate the number  $\#H(Y, N, R)$  of such covers with fixed ramification locus  $R_f = R$ .

**Remarks:** 1) A cover is a non-constant holomorphic map  $f : X \rightarrow Y$ . Throughout, we always consider equivalence classes of covers:

$$\begin{array}{ccc} & & \begin{array}{ccc} X_1 & \xrightarrow{\phi} & X_2 \\ f_1 & \searrow \swarrow & f_2 \\ & Y & \end{array} \\ (X_1 \xrightarrow{f_1} Y) & \sim & (X_2 \xrightarrow{f_2} Y) \\ \Leftrightarrow \exists \phi : X_1 \xrightarrow{\sim} X_2 & \text{with} & f_2 \circ \phi = f_1. \end{array}$$

2) As Hurwitz observed, it is useful to refine the above problems by fixing the ramification type of the cover. For example, we might want to classify (or count) all simple covers:

A cover  $f : X \rightarrow Y$  is called simple if

$$\#(f^{-1}(y)) \geq \deg(f) - 1, \quad \text{for all } y \in Y.$$

**Theorem A (Hurwitz, 1891):** If  $Y = \mathbb{C}_\infty$ , then

- (a)  $H(Y, N, w)$  is a “Riemannian space”.
- (b)  $H^{simple}(Y, N, w)$  is a **connected** manifold of dimension  $w$  (provided that  $w \geq 2N - 2$  and  $2|w$ ).
- (c) The **discriminant map**

$$\delta : H^{simple}(Y, N, w) \rightarrow Y^{(w)} \setminus \Delta_w$$

is **finite** and **unramified**. Thus,  $\#H^{simple}(Y, N, R)$  depends only on  $w = \#R$ .

**Observation (Hurwitz):**  $\text{RET} \Rightarrow$  the calculation of  $\#H(Y, N, R)$  is a **purely group-theoretic problem**, albeit one that is “highly complicated” (Hurwitz):

$$H(Y, N, R) \xrightarrow{\sim} \text{Hom}'(\pi_1(Y \setminus R), S_N) / S_N.$$

**Hurwitz (1891/1901)** found a “satisfactory solution” for calculating  $n_{N,w} := \#H^{simple}(\mathbb{C}_\infty, N, R)$ :

$$\begin{aligned} n_{2,w} &= 1 \\ n_{3,w} &= \frac{1}{3!}(3^{w-1} - 3), \\ n_{4,w} &= \frac{1}{4!}(2^{w-2} - 4)(3^{w-1} - 3), \text{ etc.} \end{aligned}$$

**Question 1:** Is there an **intrinsic** description of the **topology** and/or **complex structure** of the **Hurwitz spaces**  $H(Y, N, w)$ ?

**Recall:** 1) The **points** of  $H(Y, N, w)$  correspond to covers  $f : X \rightarrow Y$  of degree  $N$  with  $w$  ramification points.

2) The **topology** of  $H^{simple}(Y, N, w)$  is induced by the discriminant map

$$\delta : H^{simple}(Y, N, w) \rightarrow Y^{(w)} \setminus \Delta_w.$$

Thus: a **neighbourhood** of a cover  $f \in H(Y, N, w)$  consists (roughly) of those covers whose ramification loci are **close to** that of  $f$ .

**Question 2:** Generalizations of **Hurwitz spaces**?

a) **Construct**  $H(Y, N, w)$  for other Riemann surfaces/complex curves  $Y$ ;

b) **Study rationality conditions:** over which ground fields  $K \subset \mathbb{C}$  are the covers defined?

## 2. Intrinsic Description of Hurwitz Spaces

**Key Observation** (Grothendieck, 1960): A topological (complex) space  $H$  is uniquely characterized by the set of maps  $\text{Hom}(T, H)$ , as  $T$  runs over all topological (complex) spaces.

In other words: As a topological space,  $H$  is determined by the functor

$$F_H : \underline{Top} \rightarrow \underline{Sets}$$

which is given by  $F_H(T) = \text{Hom}_{top}(T, H)$ . (Similarly for complex spaces.)

**Problem:** For each complex space  $T$ , describe the holomorphic maps

$$T \rightarrow H = H^{simple}(Y, N, w).$$

**Fulton (1969):** Consider families of covers, i.e. covers of curve families/ $T$ :

$$f : \mathcal{X} \rightarrow Y_T = \mathbb{P}_T^1 = \mathbb{P}^1 \times T.$$

Thus: For each  $t \in T$ , the fibre  $f_t : \mathcal{X}_t \rightarrow (\mathbb{P}_T^1)_t = \mathbb{P}^1$  of  $f$  at  $t$  is a cover (of curves) in the previous sense, i.e.  $f_t \in H(\dots)$ .

**Expect:** 1) For each family of covers  $f : \mathcal{X} \rightarrow Y_T = \mathbb{P}_T^1$ , the assignment  $t \mapsto f_t$  defines (naturally) a holomorphic map  $[f] : T \rightarrow H$ .

2) Each holomorphic map  $g : T \rightarrow H$  arises uniquely in this way, i.e.  $g = [f]$ , for a unique family of covers  $f : \mathcal{X} \rightarrow Y_T$  (up to isomorphism).

**Reformulation:** Let

$$\begin{aligned} & H^{simple}(Y_T/T, N, w) \\ &= (\text{set of families of simple covers over } T \\ & \text{ with } f_t \in H^{simple}(Y, N, w), \forall t) / \sim. \end{aligned}$$

It is easy to see that the assignment

$$T \mapsto H^{simple}(Y_T/T, N, w)$$

defines a functor

$$\mathcal{H}_{N,w} : \underline{\mathbb{C} - spaces} \rightarrow \underline{Sets},$$

and that

$$\begin{aligned} \text{Expectation} & \Leftrightarrow \mathcal{H}_{N,w} \simeq F_H \\ & \stackrel{def}{\Leftrightarrow} H \text{ represents the functor } \mathcal{H}_{N,w}. \end{aligned}$$

**Theorem B (Fulton, 1969):** If  $N \geq 3$ , then the Hurwitz space  $H^{simple}(Y, N, w)$  (as defined by Hurwitz) represents the above functor  $\mathcal{H}_{N,w}$ .

This theorem generalizes to the algebraic setting by replacing complex spaces by schemes:

**Theorem C (Fulton, 1969):** If  $N \geq 3$ , then the functor

$$\mathcal{H}_{N,w} : \underline{Sch} \rightarrow \underline{Sets}$$

is representable by a scheme  $H_{N,w}/\mathbb{Z}$  of finite type. In particular, for any field  $K$  we have

$$H_{N,w}(K) = H^{simple}(\mathbb{P}^1/K, N, w).$$

In addition, the restriction of the discriminant map to  $H_{N,w} \otimes \mathbb{Z}[1/N!] \subset H_{N,w}$ ,

$$\delta : H_{N,w} \otimes \mathbb{Z}[1/N!] \rightarrow (\mathbb{P}_{\mathbb{Z}[1/N!]}^1)^{(w)} \setminus \Delta_w,$$

is finite and etale.

**Remark:** Little seems to be known about the geometric structure of  $H_{N,w}$ .

**Aim:** Study analogues of these results in the case that  $Y = E$  is an elliptic curve (and  $w = 2$ ).



**Remark:** In recent years, there have been an abundance of results and applications of Hurwitz spaces:

1) Inverse Galois theory: Fried, Völklein, ...

- Fried, Völklein, Harbater, Debes, Wevers, ... : studied moduli spaces of other types of covers  $/\mathbb{P}^1$ .

2) Moduli problems of curves: Fulton, Mumford and Harris, ...

- used  $H_{N,w}$  to study the geometry of  $M_g$ , the moduli space of curves of genus  $g$ .

3) String theory: Gromov/Witten, Dijkgraaf, ...

also: Cordes/Moore/Ramgoolan, Kontsevich, ...

### 3. The Case $Y = E$ and $w = 2$

**Reference:** IEM Preprint No. 9 (2001), IEM Essen.

(See also [www.mast.queensu.ca/~kani](http://www.mast.queensu.ca/~kani))

– to appear in: *Collectanea Mathematica*

**Let**  $E/K$  be an elliptic curve over a field  $K$  ( $\text{char} \neq 2$ ).

Fix  $N \geq 2$  prime to  $\text{char}(K)$ .

**Note:** If  $(X \xrightarrow{f} E) \in H^{\text{simple}}(E/K, N, 2)$ , then by the Riemann-Hurwitz relation

$$2g_X - 2 = N(2g_E - 2) + w = w = 2 \Rightarrow g_X = 2.$$

**More generally:** Study the set  $H^{(2)}(E/K, N)$  of all genus 2 covers of degree  $N$  of  $E/K$ :

$$f : X \rightarrow E, \quad \deg(f) = N \text{ and } g_X = 2.$$

Similarly, study the set  $H^{(2)}(E_T/T, N)$  of families of such covers:

$$f : \mathcal{X} \rightarrow E_T = E \times T, \quad f_t \in H^{(2)}(E_t/K(t), N).$$

As before, the assignment  $T \mapsto H^{(2)}(E_T/T, N)$  defines a functor

$$\mathcal{H}_{E/K, N}^{(2)} : \underline{Sch} \rightarrow \underline{Sets}.$$

**Theorem 1.** If  $N$  is odd, then  $\mathcal{H}_{E/K,N}^{(2)}$  is representable by a smooth, quasi-projective surface  $H_{E/K,N}^{(2)}$  over  $K$  which has (over  $\overline{K}$ )

$$\sum_{d|N} \sigma(d) - \sigma(N)$$

irreducible components. Thus  $H_{E/K,N}^{(2)}$  is irreducible if and only if  $N$  is prime.

**Remarks:** 1) The above result does not extend to the case that  $N$  is even. However, a slightly weaker result is true in that case: the functor  $\mathcal{H}$  is coarsely representable by such a variety.

2) The reason that  $H$  breaks up into components is the following:

Each  $X \xrightarrow{f} E$  factors as  $X \xrightarrow{f'} E' \xrightarrow{u_f} E$ , where  $u_f : E' \rightarrow E$  is the max. unramified subcover of  $f$ .

Thus:  $H_{E/K,N}^{(2)}$  is a union of components which are indexed by subgroups  $G \leq E$  with  $\#G|N$  (and  $\#G \neq N$ ); explicitly,  $G = \text{Ker}(\hat{u}_f)$ .

**Definition:** A cover  $f : X \rightarrow E$  is called **minimal** if  $\deg(u_f) = 1$ .

**Theorem 2.** For every  $N \geq 3$  (prime to  $\text{char}(K)$ ), the functor  $\mathcal{H}_{E/K,N}^{(min)}$  which classifies **minimal** genus 2 covers is **representable** by a smooth, **irreducible** quasi-projective surface  $H_{E/K,N}^{(min)}$  over  $K$ .

More precisely, we have

$$H_{E/K,N}^{(min)} \otimes_K \overline{K} \simeq E \times H_{E/K,N}$$

where  $H_{E/K,N} \subset X(N)$  is an open subvariety (curve) of the **modular curve**  $X(N)$  of (full) level  $N$ .

**Remarks:** 1) If  $K = \mathbb{C}$ , then  $X(N) = \Gamma(N) \backslash \mathfrak{H}^*$ , which is a Galois cover of  $X(1) \simeq \mathbb{P}^1$  of degree

$$\overline{sl}(N) := |\text{SL}_2(\mathbb{Z}/N\mathbb{Z})/\{\pm 1\}|.$$

2) The **reason** that  $E$  appears as a factor of  $\mathcal{H}_{E/K,N}^{(min)}$  is due to the fact that the group  $E(K)$  **acts** on  $E$  and hence on  $H_{E/K,N}^{(2)}$  etc. via **translation**:  $f \mapsto T_x \circ f$ .

**Thus:** introduce and study **normalized covers**.

**Definition:** A cover  $f : X \rightarrow E$  with  $g_X = 2$  is called **normalized** if it is **minimal** and if

$$f(W) \subset E[2] \text{ and } \#(f^{-1}(0_E) \cap W) = \begin{cases} 3 & N \text{ odd} \\ 0 & \text{else} \end{cases}$$

where  $W = \text{Fix}(\sigma_X)$  denotes the set of **6 Weierstrass points** of  $X$ . (Here:  $\sigma_X$  is the **hyperelliptic involution** of  $X$ .)

**Notes:** 1) If  $f : X \rightarrow E$  is **minimal**, then  $\exists! y \in E(K)$  such that  $T_y \circ f : X \rightarrow E$  is **normalized**.

2) If  $f$  is **normalized**, then  $f \circ \sigma_X = [-1]_E \circ f$ . Thus  $\text{Disc}(f)$  is **symmetric** with respect to  $[-1]_E$ , i.e.  $[-1]_E^* \text{Disc}(f) = \text{Disc}(f)$ .

**Example:** Let

$$\begin{aligned} E : \quad y^2 &= (x - a)(x - b)(x - c), \quad abc \neq 0 \\ X : \quad s^2 &= (t^2 - a)(t^2 - b)(t^2 - c). \end{aligned}$$

Then the cover  $f : X \rightarrow E$ , given by  $f^*x = t^2, f^*y = s$ , is **normalized** and of degree 2.

**Theorem 3.** For every  $N \geq 3$  (as above), the functor  $\mathcal{H}_{E/K,N}$  which classifies **normalized** genus 2 covers is **representable** by a smooth, **irreducible affine** curve  $H_{E/K,N}/K$  such that  $H_{E/K,N} \otimes \bar{K} \subset X(N)$ .

**Theorem 4:** Let

$$D_{E/K,N} = X(N)_{/\overline{K}} \setminus (H_{E/K,N} \otimes \overline{K})$$

denote the **degeneracy locus**. Then

$$\#D_{E/K,N} \leq \frac{1}{12N}(5N+6)\overline{sl}(N),$$

and equality holds if and only if  $\text{char}(K) \nmid N!$ .

– **reinterpretation** of results of **Crelle J. 485 (1997)**  
+ **J. No. Th. 64 (1997)**.

**Theorem 5:** The assignment  $(X \xrightarrow{f} E) \mapsto \text{Disc}(f)$  is represented by a **quasi-finite** morphism

$$\delta = \delta_{E/K,N} : H_{E/K,N} \rightarrow \mathbb{P}_K^1 \simeq (E^{(2)})^{sym}.$$

Furthermore, if  $\text{char}(K) \nmid N!$ , then  $\delta$  is **finite** and **unramified** outside of  $\pi_E(E[2]) \subset \mathbb{P}^1$ .

**Theorem 6:** If  $\text{char}(K) \nmid N!$ , then

$$\deg(\delta_{E/K,N}) = \frac{1}{6}(N-1)\overline{sl}(N).$$

**Remarks:** 1) This degree can be viewed as a **measure of non-rigidity** of coverings ( $\rightarrow$  **Völklein**).

2) **H. Völklein** proved **Theorem 6** for  $N = 3, 5, 7$  by using **group theory** (and a computer).

## 4. Some applications

### (a) Rationality Questions ( $K$ a number field)

Since  $g_{X(N)} \geq 2$  for  $N \geq 7$ , we have by Faltings' theorem (= Mordell's Conjecture):

**Corollary 1:**  $\#\mathcal{H}_{E/K,N}(K) < \infty$ , if  $N \geq 7$ .

**Question:** Is  $\mathcal{H}_{E/K,N}(K) = \emptyset$ , for  $N \gg 0$ ?

This is false (even for  $N$  prime), for there exist curves  $X/K$  with  $\infty$ 'ly many  $f_N : X \rightarrow E$  for which  $N = \deg(f_N)$  is prime.

**Conjecture (\*)** For each  $E/K$  there exist only finitely many genus 2 curves  $X/K$  which have a (minimal) morphism  $f : X \rightarrow E$  of degree  $N \geq 7$ .

**Remark:** ABC conj.  $\Rightarrow$  Asym. Fermat  $\Rightarrow$  Conj. (\*).

Moreover, the converse: Conj. (\*)  $\Rightarrow$  Asym. Fermat is “almost true”: it implies a slightly weaker version of Frey's Conjecture 5 (which by Frey and Wiles is equivalent to the Asymptotic Fermat Conjecture (for  $K = \mathbb{Q}$ ).)

## (b) Moduli

**Question:** For which curves  $Y/K$  does there exist a (minimal) morphism  $f : Y \rightarrow E$  of degree  $N$ ?

**Corollary 2:** For every  $N$  there exists a morphism

$$\mu_N : H_{E(N)/X'(N),N} \rightarrow M_2$$

to the moduli space of curves of genus 2. Moreover:

- a)  $\text{Im}(\mu_N) = \text{Humbert surface}$  with  $\text{Inv. } \Delta = N^2$ ;
- b)  $\deg(\mu_N) = 2\overline{sl}(N)$ ; more precisely,

$$\text{Im}(\mu_N) \sim Z_{N,-1}^{\text{sym}} := (X(N) \times X(N)) / \langle \Delta_{N,-1}, \tau \rangle,$$

where  $\tau(x, y) = (y, x)$  and

$$\Delta_{N,-1} = \{(g, \alpha_{-1}(g)) : g \in \text{SL}_2(\mathbb{Z}/N\mathbb{Z})/\{\pm 1\}\},$$

where  $\alpha_{-1}(g) = Q_{-1}gQ_{-1}^{-1}$  with  $Q_{-1} = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}$ .

**In particular,** the normalization (and compactification) of the Humbert surface  $\text{Im}(\mu_N)$  is the symmetric Diagonal Quotient Surface  $Z_{N,-1}^{\text{sym}}$ .



### (c) Counting Covers: ( $K = \overline{K}$ )

**Corollary 3:** If  $N \geq 2$  and  $\text{char}(K) \nmid N$ , then for every  $R \subset E$  with  $\#R = 2$  we have

$$c_N := \sum_{f \in H^s(E/K, N, R)} \frac{1}{|\text{Aut}(f)|} = \frac{1}{3}(N\sigma_3(N) - N^2\sigma_1(N)),$$

where  $\sigma_k(N) = \sum_{d|N} d^k$ . Thus, if  $\text{char}(K) = 0$ , then  $F_2(q) := \sum c_N q^N$  is a **quasi-modular form** of weight 6; explicitly we have

$$(1) \quad F_2(q) = \frac{1}{51840}(10E_2^3 - 6E_2E_4 - 4E_6),$$

where  $E_k = 1 + b_k \sum_{n \geq 1} \sigma_{k-1}(n)q^n$  with  $b_2 = -24$ ,  $b_4 = 240$  and  $b_6 = -504$ .

**Remarks:** 1) The identity (1) was first proven by R. Dijkgraaf (1995) by using the methods of **mirror symmetry** ( $\rightarrow$  B. Mazur).

2) Theorem 6  $\Rightarrow$  Corollary 3 by using the identities

$$\sum_{n|N} \sigma_1(n) \text{sl}(N/n) = \sigma_3(N),$$

$$\sum_{n|N} n \sigma_1(n) \text{sl}(N/n) = N^2 \sigma_1(N).$$

### (d) Curves with minimal degeneration:

**Let**  $H = H_{E/K,N} \subset X = X(N)$  be the moduli space,  
 $f : Y_N \rightarrow E_H = E \times H$  the universal cover,  
 $p : \bar{Y}_N \rightarrow X$  the minimal model of  $Y_N$  over  $X$ ,  
 $h_{\bar{Y}_N/X} = \deg_X(p_*\omega_{Y_N/X}^0)$  its modular height.

**Corollary 4:** The curve  $\bar{Y}_N/X(N)$  is semi-stable and has bad reduction at  $X \setminus H$ . Furthermore, its Jacobian  $J = J_N$  has bad reduction at  $X(N)_\infty := X(N) \setminus X'(N)$ , and its modular height is

$$h_{\bar{Y}_N/X} = h_{J/X} = \frac{1}{2}(2g_{X(N)} - 2 + \#X(N)_\infty).$$

In particular, for  $N = 3$  one thus obtains a semi-stable family  $p : \bar{Y}_3 \rightarrow \mathbb{P}^1$  whose Jacobian has precisely 4 places of bad reduction.

**Remarks:** 1) By a theorem of Faltings it follows (in  $\text{char} = 0$ ) that for any such curve we have the inequality

$$h_{\bar{Y}_N/X} = h_{J/X} \leq \frac{1}{2}(2g_{X(N)} - 2 + \#X(N)_\infty).$$

2) In a recent preprint E. Viehweg and K. Zuo study the structure of families of abelian varieties with such “minimal degeneration”.

## 5. The Basic Construction

**Reference:** Frey/K., Curves of genus 2 covering elliptic curves ... (Texel Conference, 1989)

**Given:**

$$\begin{array}{ccccc} X & & X & & \\ f \downarrow \rightsquigarrow & \swarrow & & \searrow & \rightsquigarrow \psi : E[N] \xrightarrow{\sim} E^\perp[N]. \\ E & E & & E^\perp & \end{array}$$

(via the duality theory of  $J_X$ .)

**Conversely:** given anti-isometry  $\psi : E[N] \rightarrow E'[N]$ , one can recover a (normalized) genus 2 cover

$$f_\psi : X_\psi \rightarrow E.$$

However: the curve  $X_\psi$  may be reducible!

$$\Rightarrow H_{E/K,N} \subset X_{E/K,N,-1}.$$

**Note:** 1) The moduli space  $X_{E/K,N,-1}$  classifies pairs  $(E', \psi)$ , where  $\psi : E[N] \rightarrow E'[N]$  is an anti-isometry.  
 2) This construction also works for families! (Cf. IEM Preprint, op. cit.):  $\Rightarrow$  Theorem 3  $\Rightarrow$  Theorem 2  $\Rightarrow$  Theorem 1.

## 6. Proof of Theorem 6 (Overview)

**Remark:** The proof of Theorem 6 uses the methods of Arithmetic Algebraic Geometry.

More precisely, it uses:

- a study of degenerations of the universal cover

$$f_{univ} : X_H \rightarrow E \times H;$$

In other words:

- 1) study the degeneration of the minimal model  $M(X_H)$  of  $X_H$ ; this uses the modular height of relative curves.
- 2) study whether or not  $f_{univ}$  extends to a cover

$$f : M(X_H) \rightarrow E \times X(N).$$

- intersection theory on  $M(X_H)$ .

## 7. Study of Degenerations

**Let**  $H = H_{E/\overline{K},N}$  denote the **moduli space**,  
 $f_H : Y_H \rightarrow E_H = E \times_{\overline{K}} H$  the **universal cover**,  
 $X = X(N) \supset H$  the natural **compactification**,  
 $\overline{Y}/X$  the **minimal model** of the generic fibre of  $Y_H$ .

**Facts.** 1) The fibres of  $\overline{Y}/X$  are **semi-stable**.

2)  $f_H$  extends to a morphism  $f = f_X : \overline{Y} \rightarrow E_X$  which is **finite** if and only if  $\text{char}(K) \nmid N!$ .

**Theorem 7:** Suppose  $\text{char}(K) \nmid N!$ . Then:

(a) The fibres  $\overline{Y}_x$  of  $\overline{Y}/X$  are **stable** curves with at most **one** singularity.

(b)  $\overline{Y}_x$  is **singular** if and only if  $x \in D_{E/\overline{K},N} = X_\infty \dot{\cup} X_1$ , where  $X_\infty$  is the set of **cusps** of  $X$ . (Note that  $\#X_\infty = \overline{sl}(N)/N$ .)

(c) If  $x \in X_\infty$ , then  $\overline{Y}_x$  is an **irreducible** curve whose normalization is a curve of genus 1.

(d) If  $x \in X_1$ , then  $\overline{Y}_x = E_{x,1} \cup E_{x,2}$  is the **union** of **two** curves of genus 1 which meet **transversely** in a unique point  $P_x$ .

## 8. Calculation of Intersection Numbers

**Let**  $F = \kappa(X)$  denote the function field of  $X = X(N)$ ,  
 $f_F : Y_F \rightarrow E_F$  the **generic cover** over  $F$ ,  
 $D_F = \text{Diff}(f_F)$  the **different divisor** of  $f_F$ ,  
 $W_{C_F} \in \text{Div}(Y_F)$  the **hyperelliptic divisor** of  $Y_F$ ,  
 $D$  and  $W$  their respective **closures** in  $\bar{Y}$ ,  
 $\omega_{\bar{Y}/X}^0$  the **relative dualizing sheaf** of  $p_{\bar{Y}} : \bar{Y} \rightarrow X$ .

**Theorem 8:** The **modular height** of  $\bar{Y}/X$  is

$$h_{\bar{Y}/X} := \deg((p_{\bar{Y}})_*(\omega_{\bar{Y}/X}^0)) = \frac{1}{12}\overline{sl}(N),$$

and the **self-intersection number** of  $\omega_{\bar{Y}/X}^0$  is

$$(\omega_{\bar{Y}/X}^0)^2 = \frac{7}{5}\#X_1 + \frac{1}{5}\#X_\infty = \frac{1}{12N}(7N - 6)\overline{sl}(N).$$

**Remark:** The proof uses **Theorem 4**, the **Noether formula** and **Mumford's formula** (which holds if  $g = 2$ ):

$$h = \omega^2 + \delta_0 + \delta_1 \quad \text{and} \quad 5\omega^2 = \delta_0 + 7\delta_1,$$

where  $h = h_{\bar{Y}/X}$ ,  $\omega = \omega_{\bar{Y}/X}^0$ , and  $\delta_0$  (respect.  $\delta_1$ ) is the number of **singular points** of all fibres which **do not** (respect. **do**) **disconnect** the fibre.

**Theorem 9:** (a)  $D$  is an irreducible curve on  $\overline{Y}$  which represents the dualizing sheaf:  $\omega_{\overline{Y}/X}^0 \sim D$ .

(b) If  $q_1 = pr_1 \circ f|_D : D \rightarrow E$  and  $q_2 = pr_2 \circ f|_D : D \rightarrow X$ , then  $\pi_E \circ q_1 = \overline{\delta}_{E,N} \circ q_2$ , where  $\overline{\delta} : X \rightarrow \mathbb{P}^1$  is the unique extension of  $\delta : H \rightarrow \mathbb{P}^1$ . Thus

$$\deg(\overline{\delta}) = \deg(q_1) = (\omega_{\overline{Y}/X}^0 \cdot f^*(P \times X)).$$

(c) We have  $6D \sim 2W + f^*(E \times A)$ , for some  $A \in \text{Div}(X)$ , and hence

$$\deg(q_1) = \frac{N}{6} \deg(A) = \frac{N}{36} (9(\omega_{\overline{Y}/X}^0)^2 - W^2).$$

(d) The self-intersection number of  $W$  is

$$W^2 = \frac{6}{7} \#X_1 - \frac{9}{7} \omega^2 = -\frac{3}{4N} (N-2) \overline{sl}(N).$$

**Remark:** To compute  $W^2$ , consider the pullback  $W^*$  of  $W$  to (the desingularization of)  $\overline{Y} \times_X X(2N)$ , and observe that  $W^* = W_1 + \dots + W_6 + B$ , where the  $W_i$ 's are 6 disjoint sections and  $B$  is a fibral divisor supported on the fibres over  $X(2N)_\infty$ .