

Hurwitz Spaces of Genus 2
Covers of an Elliptic Curve

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1. Introduction

Recall: If X is a compact Riemann surface, then

$$H(X, N, w) := \{(\text{equiv. cl. of}) \ N\text{-sheeted coverings} \\ \text{of } X \text{ with } w \text{ branch points}\} / \simeq$$

has the structure of a (not nec. connected) complex manifold (Hurwitz, 1891).

Theorem A (Hurwitz, 1891): R.E.T \Rightarrow

- (a) $H^{simple}(\mathbb{P}^1, N, w)$ is a connected manifold.
- (b) The discriminant map $\delta : H^{simple}(\mathbb{P}^1, N, w) \rightarrow (\mathbb{P}^1)^{(w)} \setminus \Delta_w$ is finite and etale.

Theorem B (Fulton, 1969): \exists fine moduli space $H = H^{simple}(\mathbb{P}^1, N, w) / \mathbb{Z}$ whose fibres H_k/k satisfy (a) and (b) if (and only if) $\text{char}(k) \nmid N!$.

Fried, Völklein, Harbater, Wevers, . . . : studied moduli spaces of other types of covers $/\mathbb{P}^1$.

Aim: Study analogues of these results in the case that $X = E$ is an elliptic curve (and $w = 2$).

2. Normalized Genus 2 Covers

Reference: E.K., Hurwitz spaces of genus 2 covers, IEM Preprint No. 9 (2001), IEM Essen.

(See also www.mast.queensu.ca/~kani.)

Let E/K be an elliptic curve over a field K of char $\neq 2$,
 $E[2]^\# = P_1 + P_2 + P_3$, if $E[2] = \{0_E, E_1, E_2, E_3\}$,
 $N \geq 3$ an integer with $(\text{char}(K), N) = 1$,
 $f : C \rightarrow E$ a genus 2 cover of E/K of degree N ,
 $\sigma_C \in \text{Aut}(C)$, the hyperelliptic involution of C ,
 $\pi_C : C \rightarrow \langle \sigma_C \rangle \backslash C \simeq \mathbb{P}^1$, the hyperelliptic cover,
 $W_C = \text{Diff}(\pi_C)$, the hyperelliptic divisor of C .

Definition. 1) $f : C \rightarrow E$ is said to be minimal if f does not factor over an isogeny of E .

2) f is normalized if it is minimal and if the norm (or direct image) of W_C has the form

$$f_*(W_C) = 3[0_E] + E[2]^\#, \text{ resp. } f_*(W_C) = 2E[2]^\#,$$

if $N = \deg(f)$ is odd or even, respectively.

Notes: 1) If $f : C \rightarrow E$ is minimal, then $\exists! x \in E(K)$ such that $T_x \circ f : C \rightarrow E$ is normalized.

2) If f is normalized, then $f \circ \sigma_C = [-1]_E \circ f$.

3) $(f : C \rightarrow E) \rightsquigarrow (\bar{f} : \mathbb{P}^1 \rightarrow \mathbb{P}^1) \rightarrow \text{Frey/K./Völklein}$

3. Results

For any extension field L/K put

$$\mathcal{H}_{E/K,N}(L) = \{f : C \rightarrow E_L \text{ norm. genus 2 cover}\} / \simeq.$$

The assignment $L \mapsto \mathcal{H}_{E/K,N}(L)$ naturally extends to all K -schemes to define a **Hurwitz functor**

$$\mathcal{H}_{E/K,N} : \underline{\text{Sch}}/K \rightarrow \underline{\text{Sets}}.$$

Theorem 1: The functor $\mathcal{H}_{E/K,N}$ is **finely** represented by a **smooth, affine and geometrically connected curve** $H_{E/K,N}/K$. More precisely, $H_{E/K,N}$ is an open subscheme of a certain twist $X_{E/K,N,-1}$ of the **modular curve** $X(N)$ of level N . In particular,

$$H_{E/K,N} \otimes \overline{K} \stackrel{\text{open}}{\subset} X(N)_{/\overline{K}},$$

i.e. $D_{E/K,N} := X(N)_{/\overline{K}} \setminus H_{E/K,N} \otimes \overline{K}$ is a **finite** set (called the **degeneracy locus**).

Remarks: 1) A similar statement holds for **families** of elliptic curves E/S , S any scheme (with $\frac{1}{2N} \in S$.)

2) If K is a **number field**, then **Th. 1** and **Faltings' theorem** show that $\#H_{E/K,N}(K) < \infty$ for $N \geq 7$ (because then $g_{X(N)} \geq 2$).

Theorem 2: We have

$$D_{E/K,N} \leq \frac{1}{24}(5N - 6)\#\mathrm{SL}_2(\mathbb{Z}/N\mathbb{Z}),$$

and equality holds if and only if $\mathrm{char}(K) \nmid N!$.

– **reinterpretation** of results of **Crelle J. 485 (1997)**
+ **J. No. Th. 64 (1997)**.

Theorem 3: The assignment $(C \xrightarrow{f} E) \mapsto \mathrm{Disc}(f)$ is represented by a **quasi-finite** morphism

$$\delta = \delta_{E/K,N} : H_{E/K,N} \rightarrow \mathbb{P}_K^1.$$

Furthermore, if $\mathrm{char}(K) \nmid N!$, then δ is **finite** and **etale** outside of $\pi_E(E[2]) \subset \mathbb{P}^1$.

Theorem 4: If $\mathrm{char}(K) \nmid N!$, then

$$\mathrm{deg}(\delta_{E/K,N}) = \frac{1}{12}(N - 1)\#\mathrm{SL}_2(\mathbb{Z}/N\mathbb{Z}).$$

Remark: This degree can be viewed as a **measure of non-rigidity** of coverings (\rightarrow **Völklein**). Indeed, if

$$\mathrm{Cov}_{E,N,\bar{P}} := \{f \in \mathcal{H}_{E/K,N}(\bar{K}) : \mathrm{Disc}(f) = \pi_E^* \bar{P}\},$$

where $\bar{P} \in \mathbb{P}^1(\bar{K})$, then we have:

Corollary: If $\bar{P} \in \mathbb{P}^1(\bar{K}) \setminus \pi_E(E[2])$, then

$$\mathrm{Cov}_{E,N,\bar{P}} = \frac{1}{12}(N - 1)\#\mathrm{SL}_2(\mathbb{Z}/N\mathbb{Z}).$$

Note: 1) This number and/or degree is closely connected with the total (weighted) number of genus 2 covers of an elliptic curve E/\overline{K} with fixed (generic) discriminant D (where $\deg(D) = 2$). For $K = \mathbb{C}$, this (total) number can be computed by using mirror symmetry (cf. Dijkgraaf, Mazur).

2) Since δ is ramified at the points $\overline{P} \in \pi_E(E[2])$, it is natural to count the points $P_x \in \text{Cov}_{E,N,\overline{P}}$ according to their ramification degrees, i.e.

$$\deg(\text{Cov}_{E,N,\overline{P}}) := \sum_{P_x} e_\delta(P_x).$$

We then obtain:

Theorem 5: If $\text{char}(K) \nmid N!$ and N is odd, then for $\overline{P} \in \pi_E(E[2])$ we have

$$\deg(\text{Cov}_{E,N,\overline{P}}) = \begin{cases} \frac{3}{16N}(N-1)sl(N) & \text{if } \overline{P} = \overline{0}_E \\ \frac{1}{16N}(N-1)sl(N) & \text{otherwise} \end{cases}$$

where $sl(N) = \#\text{SL}_2(\mathbb{Z}/N\mathbb{Z})$ and $\overline{0}_E = \pi_E(0_E)$.

4. The Basic Construction

Reference: Frey/K., **Curves of genus 2 covering elliptic curves ...** (Texel Conference, 1989)

Given:

$$\begin{array}{ccc}
 C & & C \\
 f \downarrow \rightsquigarrow & \swarrow & \searrow \\
 E & & E^\perp
 \end{array}
 \rightsquigarrow \psi : E[N] \xrightarrow{\sim} E^\perp[N].$$

(via the **duality theory** of J_C .)

Conversely: given **anti-isometry** $\psi : E[N] \rightarrow E'[N]$, one can recover a **(normalized)** genus 2 cover

$$f_\psi : C_\psi \rightarrow E.$$

However: the curve C_ψ may be **reducible!**

$$\Rightarrow H_{E/K,N} \subset X_{E/K,N,-1}.$$

Note: 1) The **moduli space** $X_{E/K,N,-1}$ classifies pairs (E', ψ) , where $\psi : E[N] \rightarrow E'[N]$ is an **anti-isometry**.
 2) This construction also works for **families!** (Cf. E.K., **Hurwitz spaces ...**).

5. Study of Degenerations

Let $H = H_{E/\overline{K}, N}$ denote the moduli space,
 $f_H : \mathcal{C}_H \rightarrow E_H = E \times_{\overline{K}} H$ the universal cover,
 $X = X(N) \supset H$ the natural compactification,
 \mathcal{C}/X the minimal model of the generic fibre of \mathcal{C}_H .

Facts. 1) The fibres of \mathcal{C}/X are semi-stable.

2) f_H extends to a morphism $f = f_X : \mathcal{C} \rightarrow E_X$ which is finite if and only if $\text{char}(K) \nmid N!$.

Theorem 6: Suppose $\text{char}(K) \nmid N!$. Then:

(a) The fibres \mathcal{C}_x of \mathcal{C}/X are stable curves with at most one singularity.

(b) \mathcal{C}_x is singular if and only if $x \in D_{E/\overline{K}, N} = X_\infty \dot{\cup} X_1$, where X_∞ is the set of cusps of X . (Note that $\#X_\infty = sl(N)/N$.)

(c) If $x \in X_\infty$, then \mathcal{C}_x is an irreducible curve whose normalization is a curve of genus 1.

(d) If $x \in X_1$, then $\mathcal{C}_x = E_{x,1} \cup E_{x,2}$ is the union of two curves of genus 1 which meet transversely in a unique point P_x .

6. Calculation of Intersection Numbers

Notation: Let $f_F : \mathcal{C}_F \rightarrow E_F$ denote the **generic cover** over $F = \kappa(X)$, and let D and W denote the **closures** (in \mathcal{C}) of the **different divisor** $D_F = \text{Diff}(f_F)$ and of the **hyperelliptic divisor** $W_{\mathcal{C}_F}$ on \mathcal{C}_F .

Theorem 7: (a) The **modular height** of \mathcal{C}/X is $h_{\mathcal{C}/X} = \frac{1}{24}sl(N)$ and the **self-intersection number** of the **relative dualizing sheaf** $\omega_{\mathcal{C}/X}^0$ is given by

$$(\omega_{\mathcal{C}/X}^0)^2 = \frac{7}{5}\#X_1 + \frac{1}{5}\#X_\infty = \frac{1}{24N}(7N - 6)sl(N).$$

(b) D is an **irreducible** curve on \mathcal{C} which represents the **dualizing sheaf**: $\omega_{\mathcal{C}/\mathcal{C}}^0 \sim D$.

(c) If $q_1 = pr_1 \circ f|_D : D \rightarrow E$ and $q_2 = pr_2 \circ f|_D : D \rightarrow X$, then $\pi_E \circ q_1 = \bar{\delta}_{E,N} \circ q_2$, where $\bar{\delta} : X \rightarrow \mathbb{P}^1$ is the unique extension of $\delta : H \rightarrow \mathbb{P}^1$. Thus

$$\deg(\bar{\delta}) = \deg(q_1) = (\omega_{\mathcal{C}/X}^0 \cdot f^*(P \times X)).$$

(d) We have $6D \sim 2W + f^*(E \times A)$, for some $A \in \text{Div}(X)$, and hence

$$\deg(q_1) = \frac{N}{6} \deg(A) = \frac{N}{36}(9(\omega_{\mathcal{C}/X}^0)^2 - W^2).$$