

1. Suppose that  $X \subset \mathbb{R}^n$  is a shape.

- (a) If  $f_1$  and  $f_2$  are functions on  $\mathbb{R}^n$ , show that  $f_1 = f_2$  on  $X$  (i.e., when restricted to  $X$ ) if and only if  $f_1 - f_2$  is zero on  $X$ .
- (b) If  $g$  is a function on  $\mathbb{R}^n$  which is zero when restricted to  $X$ , and  $h$  any function on  $\mathbb{R}^n$ , show that  $hg$  is zero when restricted to  $X$ .
- (c) Now let  $X$  be the circle  $\{(x, y) \mid x^2 + y^2 = 1\} \subset \mathbb{R}^2$ . Take the following functions on  $\mathbb{R}^2$  and organize them into groups according to their equality when restricted to  $X$ :

$$\text{(1) } 1; \quad \text{(2) } y; \quad \text{(3) } x^2 + y^2; \quad \text{(4) } x^2 - y^2;$$

$$\text{(5) } 2x^2 + 1; \quad \text{(6) } 2x^2 - 1; \quad \text{(7) } x^4 - y^4; \quad \text{(8) } y^3 + x^2y.$$

(I.e, group together the functions which are equal when restricted to  $X$ .)

- [Math 813 only] (d) Let  $X$  be the unit circle as in part (c). Let  $f(x, y)$  be any polynomial in  $x$  and  $y$ . Prove that there is a polynomial of the form  $g(x, y) = g_0(x) + g_1(x)y$  such that the restriction of  $f$  to  $X$  is equal to the restriction of  $g$  to  $X$ .

### Solution.

- (a) Let  $\psi: R[\mathbb{R}^n] \rightarrow R[X]$  be the restriction map. We have shown in class that this map is a ring homomorphism. Saying that  $f_1$  and  $f_2$  are equal when restricted to  $X$  is the same as saying that  $\psi(f_1) = \psi(f_2)$ . Saying that  $f_1 - f_2$  is the zero function when restricted to  $X$  is the same thing as saying that  $\psi(f_1 - f_2) = 0$ . However, since  $\psi$  is a ring homomorphism, we have  $\psi(f_1 - f_2) = \psi(f_1) - \psi(f_2)$ . Thus  $0 = \psi(f_1 - f_2)$  is the same as  $0 = \psi(f_1) - \psi(f_2)$ , which is the same as  $\psi(f_1) = \psi(f_2)$ .

**Alternate Solution.** Set  $g = f_1 - f_2$ . If  $f_1 = f_2$  when restricted to  $X$ , then  $f_1(x) = f_2(x)$  for all  $x \in X$  and hence  $g(x) = f_1(x) - f_2(x) = 0$ . Thus, if  $f_1 = f_2$  when restricted to  $X$ , then  $g$  is the zero function on  $X$ . Conversely, if  $g(x) = 0$  for all  $x \in X$ , then since  $f_1 = f_2 + g$  we have  $f_1(x) = f_2(x) + g(x) = f_2(x) + 0 = f_2(x)$  for all  $x \in X$ , and hence that  $f_1 = f_2$  when restricted to  $X$ .

- (b) Let  $\psi$  be the restriction homomorphism as in part (a). Then if  $\psi(g) = 0$  we have  $\psi(hg) = \psi(h)\psi(g) = \psi(h) \cdot 0 = 0$ , and thus  $hg$  is zero when restricted to  $X$ .

**Alternate Solution.** For every  $x \in X$  we have  $(fg)(x) = f(x)g(x) = f(x) \cdot 0 = 0$ , and thus  $fg$  is also the zero function when restricted to  $X$ .

(c) The groups are:

A.  $\boxed{1, x^2 + y^2}$                       B.  $\boxed{2x^2 + 1}$

C.  $\boxed{x^2 - y^2, 2x^2 - 1, x^4 - y^4}$     D.  $\boxed{y, y^3 + x^2y}$

We first check that the functions in each group have the same restriction to  $X$ , and then check that functions in different groups have different restrictions to  $X$ .

**A:** It is clear that  $1 = x^2 + y^2$  on  $X$ , this is the defining equation of  $X$ !

**B:** There is nothing to check: there is only one function in group **B**.

**C:** Starting with  $x^2 + y^2 = 1$  and multiplying both sides by  $x^2 - y^2$  we get

$$x^4 - y^4 = (x^2 - y^2)(x^2 + y^2) = (x^2 - y^2) \cdot 1 = x^2 - y^2.$$

Starting with  $x^2 + y^2 - 1 = 0$  and adding  $x^2 - y^2$  to both sides gives

$$x^2 - y^2 = x^2 - y^2 + 0 = x^2 - y^2 + (x^2 + y^2 - 1) = 2x^2 - 1.$$

Thus  $x^2 - y^2$ ,  $2x^2 - 1$ , and  $x^4 - y^4$  are all the same function when restricted to  $X$ .

**D:** Starting with  $x^2 + y^2 = 1$  and multiplying both sides by  $y$  gives

$$y = y \cdot 1 = y(x^2 + y^2) = y^3 + x^2y.$$

Therefore  $y$  and  $y^3 + x^2y$  are the same function when restricted to  $X$ .

We now need to check that none of these groups should be joined, i.e., that these four different groups really give four different functions when restricted to  $X$ . Evaluating the functions at the point  $(\frac{1}{\sqrt{2}}, \frac{1}{\sqrt{2}}) \in X$  we get the values 1, 2, 0, and  $\frac{1}{\sqrt{2}}$  for **A**, **B**, **C**, and **D** respectively. Since these numbers are all different, the functions in the different boxes are all different when restricted to  $X$ .

[Math 813 only] (d) On  $X$  we have the relation  $x^2 + y^2 = 1$  or  $y^2 = 1 - x^2$ . Given any polynomial  $f(x, y)$  in  $x$  and  $y$ , write  $f(x, y) = \sum_{i,j \geq 0} c_{i,j} x^i y^j$  with the  $c_{i,j} \in k$ . We can then regroup the terms depending on whether  $j$  is even or odd:

$$\begin{aligned} f(x, y) &= \sum_{\substack{i,j \geq 0 \\ j \text{ even}}} c_{i,j} x^i y^j + \sum_{\substack{i,j \geq 0 \\ j \text{ odd}}} c_{i,j} x^i y^j \\ &= \sum_{\substack{i,j \geq 0 \\ j \text{ even}}} c_{i,j} x^i y^j + y \sum_{\substack{i,j \geq 0 \\ j \text{ odd}}} c_{i,j} x^i y^{j-1} \\ &= \sum_{\substack{i,j \geq 0 \\ j \text{ even}}} c_{i,j} x^i (y^2)^{\frac{j}{2}} + y \sum_{\substack{i,j \geq 0 \\ j \text{ odd}}} c_{i,j} x^i (y^2)^{\frac{j-1}{2}} \end{aligned}$$

Now set

$$g(x, y) = \sum_{\substack{i, j \geq 0 \\ j \text{ even}}} c_{i, j} x^i (1 - x^2)^{\frac{j}{2}} + y \sum_{\substack{i, j \geq 0 \\ j \text{ odd}}} c_{i, j} x^i (1 - x^2)^{\frac{j-1}{2}}.$$

Then  $g(x, y)$  is of the required form, and since  $y^2 = (1 - x^2)$  on  $X$ , the restriction of  $g$  to  $X$  is the same as the restriction of  $f$  to  $X$ .

2. Let  $X$  be the unit circle  $\{(x, y) \mid x^2 + y^2 = 1\} \subset \mathbb{R}^2$  and  $Y$  the unit sphere  $\{(u, v, w) \mid u^2 + v^2 + w^2 = 1\} \subset \mathbb{R}^3$ . Define a map  $\varphi: X \rightarrow Y$  by the rule  $\varphi(x, y) = (xy, y^2, x)$ .

- (a) Show that  $\varphi$  is well-defined. That is, show that if  $(x, y) \in X$  then  $\varphi(x, y) \in Y$ .
- (b) Compute  $\varphi^*(u)$ ,  $\varphi^*(v)$ , and  $\varphi^*(w)$ .
- (c) Compute  $\varphi^*(3u^2 - 2vw + 5)$ .
- (d) Let  $f$  be the function  $5xy^3 + 7x^2 - 9y^2$  restricted to  $X$ . Find a polynomial  $g(u, v, w)$  on  $\mathbb{R}^3$  so that  $f = \varphi^*(g)$ .

**Solution.**

- (a) If  $(x, y) \in X$  then  $x^2 + y^2 = 1$  and so

$$(xy)^2 + (y^2)^2 + (x)^2 = x^2y^2 + y^4 + x^2 = y^2(x^2 + y^2) + x^2 = y^2 \cdot 1 + x^2 = x^2 + y^2 = 1.$$

Therefore if  $(x, y) \in X$ ,  $(xy, y^2, x) \in Y$ .

- (b) The functions  $u$ ,  $v$ , and  $w$  are the coordinate functions on  $\mathbb{R}^3$ . For any  $(x, y) \in X$  we therefore have

$$\begin{aligned} (\varphi^*(u))(x, y) &= u(\varphi(x, y)) = u((xy, y^2, x)) = xy; \\ (\varphi^*(v))(x, y) &= v(\varphi(x, y)) = v((xy, y^2, x)) = y^2; \\ (\varphi^*(w))(x, y) &= w(\varphi(x, y)) = w((xy, y^2, x)) = x. \end{aligned}$$

We thus conclude that  $\varphi^*(u) = xy$ ,  $\varphi^*(v) = y^2$ , and  $\varphi^*(w) = x$ .

- (c) Since  $\varphi^*$  is a ring homomorphism we have

$$\begin{aligned} \varphi^*(3u^2 - 2vw + 5) &= 3\varphi^*(u)^2 - 2\varphi^*(v)\varphi^*(w) + \varphi^*(5) \\ &= 3(xy)^2 - 2(y^2)(x) + 5 = 3x^2y^2 - 2xy^2 + 5. \end{aligned}$$

(d) From part (b) and the fact that  $\varphi^*$  is a ring homomorphism we see that

$$\begin{aligned}\varphi^*(5uv + 7w^2 - 9v) &= 5\varphi^*(u)\varphi^*(v) + 7\varphi^*(w)^2 - 9\varphi^*(v) = 5(xy)(y^2) + 7(x)^2 - 9y^2 \\ &= 5xy^3 + 7x^2 - 9y^2\end{aligned}$$

on  $X$ . Therefore  $g(u, v, w) = 5uv + 7w^2 - 9v$  works.

3. Let  $X = \mathbb{R}$  and  $Y = \mathbb{R}^2$ . The ring of polynomial functions on  $X$  is  $\mathbb{R}[x]$ . The ring of polynomial functions on  $Y$  is  $\mathbb{R}[x, y]$ .

- (a) The ring  $\mathbb{R}[x]$  is a subring of  $\mathbb{R}[x, y]$ , i.e., the inclusion map  $\psi_1: \mathbb{R}[x] \rightarrow \mathbb{R}[x, y]$  is a ring homomorphism. Find a map  $\varphi_1: Y \rightarrow X$  such that pullback by  $\varphi_1$  induces  $\psi_1$ . (I.e., “ $\varphi_1^* = \psi_1$ ”.)
- (b) The map  $\psi_2: \mathbb{R}[x, y] \rightarrow \mathbb{R}[x]$  given by “setting  $y = 0$ ” (i.e.,  $\psi_2(f(x, y)) = f(x, 0)$ ) is also a ring homomorphism. Find a map  $\varphi_2: X \rightarrow Y$  so that  $\varphi_2^* = \psi_2$ .
- (c) How would you describe these maps geometrically? (I.e., in a picture or in words, what do they do?)

MINOR SUGGESTION: The fact that there is more than one  $x$  may make things more confusing. Relabelling one set of variables and describing the ring homomorphisms in the new variables may make things a bit clearer.

**Solution.** Let us take the suggestion and write  $\mathbb{R}[t]$  for the ring of polynomial functions on  $\mathbb{R}$ . Then the ring homomorphisms are given by

$$\left[ \begin{array}{ccc} \psi_1: \mathbb{R}[t] & \longrightarrow & \mathbb{R}[x, y] \\ g(t) & \longmapsto & g(x) \end{array} \right] \quad \text{and} \quad \left[ \begin{array}{ccc} \psi_2: \mathbb{R}[x, y] & \longrightarrow & \mathbb{R}[t] \\ f(x, y) & \longmapsto & f(t, 0) \end{array} \right].$$

Substitute  $t = x$   Substitute  $x = t$  and  $y = 0$

In class we have seen that if  $X$  is any shape, and  $\varphi: X \rightarrow \mathbb{R}^n$  a map given by an  $n$ -tuple of functions  $\varphi = (f_1, f_2, \dots, f_n)$  then the pullback of the coordinate functions  $z_1, \dots, z_n$  on  $\mathbb{R}^n$  is  $\varphi^*(z_1) = f_1, \varphi^*(z_2) = f_2, \dots, \varphi^*(z_n) = f_n$ . In other words: the pullback of the coordinate functions tells us the map!

- (a) We are looking for a map  $\varphi_1: \mathbb{R}^2 \rightarrow \mathbb{R}$  so that  $\varphi_1^* = \psi_1$ . Since  $\varphi_1$  maps to  $\mathbb{R}$  it is given by a single function  $f$ . From the remarks above we see that  $f$  is the pullback of the coordinate function  $t$  on  $\mathbb{R}$ . If  $\varphi_1^* = \psi_1$  then  $f = \varphi_1^*(t) = \psi_1(t) = x$ .

Therefore the only possible choice for  $\varphi_1$  is  $\varphi_1(x, y) = x$ . The map  $\varphi_1^*$  is the homomorphism we are looking for. For any  $g(t) \in \mathbb{R}[t]$ ,

$$\varphi_1^*(g)(x, y) = g(\varphi_1(x, y)) = g(x) = \psi_1(g).$$

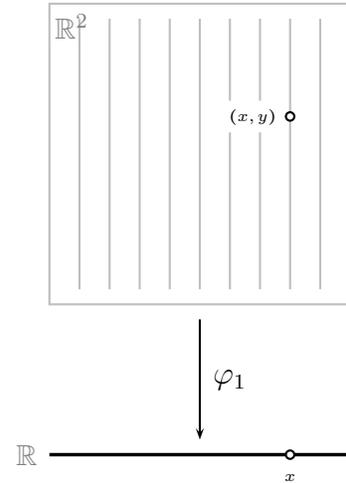
- (b) We are looking for a map  $\varphi_2: \mathbb{R} \rightarrow \mathbb{R}^2$  so that  $\varphi_2^* = \psi_2$ . Since  $\varphi_2$  maps to  $\mathbb{R}^2$  it is given by a pair of functions  $(g_1, g_2)$ . From the remarks above,  $g_1$  is the pullback of the coordinate function  $x$ , and  $g_2$  is the pullback of the coordinate function  $y$ . If  $\varphi_2^* = \psi_2$  then

$$\begin{aligned} g_1 &= \varphi_2^*(x) = \psi_2(x) = t, \text{ and} \\ g_2 &= \varphi_2^*(y) = \psi_2(y) = 0. \end{aligned}$$

Therefore the only possible choice for  $\varphi_2$  is  $\varphi_2(t) = (t, 0)$ . The map  $\varphi_2^*$  is the homomorphism we are looking for. For any  $f(x, y) \in \mathbb{R}[x, y]$ ,

$$\varphi_2^*(f)(t) = f(\varphi_2(t)) = f(t, 0) = \psi_2(f).$$

- (c) The map  $\varphi_1: \mathbb{R}^2 \rightarrow \mathbb{R}$  given by  $\varphi_1(x, y) = x$  is vertical projection of  $\mathbb{R}^2$  onto  $\mathbb{R}$ .



The map  $\varphi_2: \mathbb{R} \rightarrow \mathbb{R}^2$  given by  $\varphi_2(t) = (t, 0)$  is inclusion of  $\mathbb{R}$  into  $\mathbb{R}^2$  as the  $x$ -axis.

