

## On Artin's Conjecture

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Let  $\mathcal{F}$  be a family of number fields which are normal and of finite degree over a given number field  $K$ . Consider the lattice  $L(\mathcal{F})$  spanned by all the elements of  $\mathcal{F}$ . The generalized Artin problem is to determine the set of prime ideals of  $K$  which do not split completely in any element  $H$  of  $L(\mathcal{F})$ ,  $H \neq K$ . Assuming the generalized Riemann hypothesis and some mild restrictions on  $\mathcal{F}$ , we solve this problem by giving an asymptotic formula for the number of such prime ideals below a given norm. The classical Artin conjecture on primitive roots appears as a special case. In another case, if  $\mathcal{F}$  is the family of fields obtained by adjoining to  $\mathbb{Q}$  the  $q$ -division points of an elliptic curve  $E$  over  $\mathbb{Q}$ , the Artin problem determines how often  $E(\mathbb{F}_p)$  is cyclic. If  $E$  has complex multiplication, the generalized Riemann hypothesis can be removed by using the analogue of the Bombieri-Vinogradov prime number theorem for number fields.

### 1. INTRODUCTION

In his studies of the law of quadratic reciprocity, Gauss [4] was led to investigate the period in the decimal expansion of  $1/p$ , when  $p$  is a prime. He noticed that the period was equal to the order of  $10 \pmod{p}$ , if  $p \neq 2$  or  $5$ . Therefore, the longest period occurs whenever  $10$  is a primitive root  $\pmod{p}$ . From his tables, Gauss was undoubtedly led to wonder whether there are an infinite number of primes  $p$  such that  $10$  is a primitive root  $\pmod{p}$ .

No progress on this question was made until 1927, when Artin [1] was led by probability considerations to make the following conjecture: if  $a$  is a rational integer  $\neq 1, -1$ , or a square, then  $a$  is a primitive root  $\pmod{p}$  for infinitely many primes  $p$ . It is clear that the restrictions on  $a$  are necessary. Furthermore, letting  $N_a(x)$  be the number of such primes up to  $x$ , Artin conjectured the existence of a constant  $A(a)$  such that

$$N_a(x) \sim A(a) \frac{x}{\log x} \quad \text{as } x \rightarrow \infty.$$

His idea was as follows: First,  $a$  is a primitive root (mod  $p$ ) if and only if

$$a^{(p-1)/q} \not\equiv 1 \pmod{p}$$

for all prime divisors  $q$  of  $(p-1)$ . According to a principle of Dedekind,  $p$  splits completely in

$$K_q = \mathbb{Q}(\sqrt[q]{1}, \sqrt[q]{a})$$

if and only if

$$a^{(p-1)/q} \equiv 1 \pmod{p}.$$

Hence, Artin deduced that  $a$  is a primitive root (mod  $p$ ) if and only if  $p$  does not split completely in any  $K_q$ . Next, he realized that the prime ideal theorem gives the density of primes which split completely in  $K_q$  as

$$1/[K_q : \mathbb{Q}].$$

Therefore, the probability that  $p$  does not split completely is

$$1 - (1/[K_q : \mathbb{Q}]).$$

So, one would expect

$$A(a) = \prod_q (1 - (1/[K_q : \mathbb{Q}]))$$

as the density of primes for which  $a$  is a primitive root.

This expression for  $A(a)$  was questioned by Lehmer [14] who made some calculations. Heilbronn [9] suggested a correction because he had realized that the events

" $p$  does not split completely in  $K_q$ "

are not independent, as  $p$  and  $q$  range through all primes. For example, if  $a = 5$ , then

$$\begin{aligned} &\{p: p \text{ does not split completely in } K_2\} \\ &= \{p: (5/p) = -1\} = \{p: p \equiv 2 \text{ or } 3 \pmod{5}, p \neq 5\} \end{aligned}$$

and

$$\begin{aligned} &\{p: p \text{ does not split completely in } K_5\} \\ &= \{p: p \not\equiv 1 \pmod{5} \text{ or } 5^{(p-1)/5} \not\equiv 1 \pmod{p}\} \\ &\supseteq \{p: p \equiv 2 \text{ or } 3 \pmod{5}\}. \end{aligned}$$

Heilbronn's correction agreed with Lehmer's machine calculations.

In 1937, Bilharz [2] proved the function field analogue of Artin's conjecture assuming the Riemann hypothesis for congruence zeta functions, which was subsequently proved by Weil. A natural question to raise is whether Artin's original conjecture could be proved assuming the generalized Riemann hypothesis (GRH) for the  $L$ -series of the number fields involved. This was answered in the affirmative by Hooley [10] in 1967. His expression for  $A(a)$  agreed with that predicted by Heilbronn.

Lenstra [15] considered the following generalization of Artin's conjecture: Let  $K$  be a global field and  $F$  a finite normal extension of  $K$ . Let  $C$  be a subset of the Galois group of  $F/K$  which is stable under conjugation, and let  $d$  be a positive integer (coprime to the characteristic of  $K$  in the case of a function field). Consider a finitely generated subgroup  $W$  of  $K^*$  which has (modulo torsion) rank  $r \geq 1$ , and let  $M$  be the set of prime ideals  $\mathfrak{P}$  of  $K$  satisfying

- (i) the Artin symbol  $[(F/K)/\mathfrak{P}] \subseteq C$ ,
- (ii) the normalized exponential valuation attached to  $\mathfrak{P}$  satisfies  $\text{ord}_{\mathfrak{P}}(w) = 0$  for all  $w \in W$ ,
- (iii) if  $\psi: W \rightarrow (O_K/\mathfrak{P})^*$  is the natural map, then  $[(O_K/\mathfrak{P})^*: \psi(W)]$  divides  $d$ , where  $O_K$  is the ring of integers of  $K$ .

Lenstra conjectured that  $M$  has a density. He also obtained necessary and sufficient conditions for this density to be nonzero.

In this paper, we consider another generalization of Artin's conjecture. Let  $K$  be an algebraic number field. Let  $\mathcal{F}$  be a family of number fields, normal and of finite degree over  $K$ . Consider the lattice  $L(\mathcal{F})$  spanned by all the elements of  $\mathcal{F}$ . Determine the number of prime ideals  $\mathfrak{P}$  of  $K$  such that  $N_{K/Q}(\mathfrak{P}) \leq x$  and which do not split completely in any element  $\neq K$  of  $L(\mathcal{F})$ . For example, if  $\mathcal{F} = \{K_q : q \text{ prime}\}$  and  $K = \mathbb{Q}$ , this is Artin's conjecture.

In Sections 2 and 3, we solve this problem assuming the GRH for the zeta functions of the number fields of  $\mathcal{F}$  and some restrictions on the growth of the discriminants of the fields of  $\mathcal{F}$ . Our theorem has some interesting applications which we give in sections 4 and 5. Lang and Trotter [13] formulated an analogue of Artin's conjecture for elliptic curves. If  $E$  is an elliptic curve over  $\mathbb{Q}$  and  $a$  is a rational point of infinite order, they asked for the density of those primes  $p$  such that the group  $E(\mathbb{F}_p)$  of rational points  $(\text{mod } p)$  is cyclic and generated by the reduction of  $a \pmod{p}$ . This conjecture seems to be very difficult. Serre [18] answered the simpler question of how often  $E(\mathbb{F}_p)$  is cyclic for a given elliptic curve  $E$ , by assuming the GRH. This is discussed in Section 5.

We then investigate, in Section 6, a method of eliminating the GRH from the above results. In one direction, we are able to show that if  $E$  has complex

multiplication, then the number of primes  $p$  up to  $x$  such that  $E(F_p)$  is cyclic is

$$\sim c_E(x/\log x) \quad \text{as } x \rightarrow \infty.$$

The GRH is avoided by making use of sieve methods and Bombieri's theorem in algebraic number fields.

## 2. THE GENERALIZED ARTIN PROBLEM

Let  $\mathcal{F}$  be a family of algebraic number fields which are normal and of finite degree over a fixed number field  $K$ . Denote by  $L(\mathcal{F})$  the lattice spanned by  $\mathcal{F}$ . That is, elements of  $L(\mathcal{F})$  are joins of finite subsets of  $\mathcal{F}$ . Let  $f(x, K)$  be the number of prime ideals  $\mathfrak{P}$  of  $K$  such that  $N_{K/Q}(\mathfrak{P}) \leq x$  and  $\mathfrak{P}$  does not split completely in any  $H \in L(\mathcal{F})$  for  $H \neq K$ . We consider the problem of determining the asymptotic behaviour of  $f(x, K)$  as  $x \rightarrow \infty$ .

This problem cannot be handled in this generality and we need to make some assumptions on  $\mathcal{F}$ . One of the first assumptions we need is the following: For each prime ideal  $\mathfrak{P}$  of  $K$ , define

$$R(\mathfrak{P}) = \prod_{\substack{H \text{ splits} \\ \text{completely} \\ \text{in } H \in L(\mathcal{F})}} H.$$

We assume throughout that  $R(\mathfrak{P}) \in L(\mathcal{F})$  for all prime ideals  $\mathfrak{P}$ .

Let  $A$  and  $B$  be algebraic number fields of finite degree over  $K$ . We know that a prime ideal  $\mathfrak{P}$  splits completely in  $A$  and  $B$  if and only if  $\mathfrak{P}$  splits completely in  $AB$ . So we find that  $\mathfrak{P}$  splits completely in  $R(\mathfrak{P})$  and in no larger field in the lattice. Therefore, for  $A \in L(\mathcal{F})$ , let us define  $f(x, A)$  to be the number of prime ideals  $\mathfrak{P}$  in  $O_K$  with  $N_{K/Q}(\mathfrak{P}) \leq x$  and  $R(\mathfrak{P}) = A$ . This coincides with our previous definition if  $A = K$ .

Letting  $\pi_1(x, A)$  be those prime ideals  $\mathfrak{P}$  of  $K$  such that  $R(\mathfrak{P}) \supseteq A$  and  $N_{K/Q}(\mathfrak{P}) \leq x$ , we have

$$\pi_1(x, A) = \sum_{\substack{H \supseteq A \\ H \in L(\mathcal{F})}} f(x, H).$$

For fixed  $x$ , this sum is actually finite by our assumption and so we may apply Möbius inversion (see Rota [16]) on  $L(\mathcal{F})$  to get

$$f(x, A) = \sum_{\substack{H \supseteq A \\ H \in L(\mathcal{F})}} \mu(A, H) \pi_1(x, H),$$

where  $\mu$  is the Möbius function of  $L(\mathcal{F})$ . In particular, we get for  $A = K$ ,

$$f(x, K) = \sum_{\substack{H \supseteq K \\ H \in L(\mathcal{F})}} \mu(K, H) \pi_1(x, H).$$

Hence, we have to study the behaviour of this sum as  $x \rightarrow \infty$ .

Goldstein [5], on the other hand, considered the following setting for  $K = Q$ . Let  $S$  be a set of rational primes and for  $q \in S$ , let  $L_q$  be a finite normal extension of  $Q$ . Let  $S^*$  be the set of all squarefree numbers (including 1) composed of all the primes in  $S$ . For each  $k \in S^*$ , define  $L_k = Q$ , and

$$L_k = \prod_{q|k} L_q, \quad n(k) = [L_k : Q].$$

Then, Goldstein conjectured that

$$f(x, Q) \sim \delta(S) x / \log x$$

as  $x \rightarrow \infty$ , where

$$\delta(S) = \sum_{k \in S^*} \frac{\mu(k)}{n(k)}.$$

We now show that both of these settings are the same if  $\sum 1/n(k) < \infty$ . The transition is achieved by Rota's cross-cut theorem. Let us recall what this theorem says.

A *cross cut* of a finite lattice  $L$  is a subset  $C$  of  $L$  satisfying

- (i) subset  $C$  does not contain the minimum  $\hat{0}$  or the maximum  $\hat{1}$  of  $L$ ,
- (ii) no two elements of  $C$  are comparable;
- (iii) any maximal chain stretched between  $\hat{0}$  and  $\hat{1}$  meets  $C$ . For any cross cut  $C$ , we have

$$\mu(\hat{0}, \hat{1}) = \sum_{r \geq 1} (-1)^r g_r,$$

where  $g_r$  is the number of  $r$ -subsets of  $C$  whose join equals the maximum.

We want to show

$$\sum_{H \in L(\mathcal{F})} \frac{\mu(Q, H)}{[H : Q]} = \sum_{k \in S^*} \frac{\mu(k)}{n(k)},$$

where  $\mathcal{F} = \{L_q : q \in S\}$ .

Suppose first that  $L_q \leq L_{q'}$  for  $q, q' \in S, q \neq q'$ . Then,

$$\sum_{k \in S^*} \frac{\mu(k)}{n(k)} = \sum_{\substack{k \in S^* \\ q' | k}} \frac{\mu(k)}{n(k)} + \sum_{\substack{k \in S^* \\ q' \nmid k}} \frac{\mu(k)}{n(k)}.$$

The second sum is

$$\sum_{\substack{k \in S^* \\ q' | k, q | k}} \frac{\mu(k)}{n(k)} + \sum_{\substack{k \in S^* \\ q' | k, q \nmid k}} \frac{\mu(k)}{n(k)} = - \sum_{\substack{k \in S^* \\ q' | k, q | k}} \frac{\mu(k)}{n(k)} + \sum_{\substack{k \in S^* \\ q' | k, q \nmid k}} \frac{\mu(k)}{n(k)} = 0.$$

Therefore,

$$\sum_{k \in S^*} \frac{\mu(k)}{n(k)} = \sum_{\substack{k \in S^* \\ q' | k}} \frac{\mu(k)}{n(k)},$$

and so  $L_{q'}$  can be removed from  $\mathcal{F}$  without changing the sum. On the other hand, a theorem of Hall [8] tells us that  $\mu(0, 1) = 0$  unless 1 is the join of atoms in  $L$ . This means that

$$\sum_{H \in L(\mathcal{F})} \frac{\mu(Q, H)}{[H: Q]} = \sum_{H \in L(\mathcal{F}')} \frac{\mu(Q, H)}{[H: Q]},$$

where  $\mathcal{F}'$  is the maximal set of atoms in  $\mathcal{F}$ . Therefore, without any loss of generality, we may assume that no two elements of  $\mathcal{F}$  are comparable. Applying the cross-cut theorem to the interval  $[Q, H]$ , we have,

$$\begin{aligned} \sum_{H \in L(\mathcal{F})} \frac{1}{[H: Q]} \sum_{r \geq 1} \sum_{L_{q_1} \cdots L_{q_r} = H} (-1)^r \\ = \sum_{H \in L(\mathcal{F})} \frac{1}{[H: Q]} \sum_{\substack{L_k = H \\ k \in S^*}} \mu(k) = \sum_{k \in S^*} \frac{\mu(k)}{n(k)}, \end{aligned}$$

as desired.

Goldstein's formulation has the advantage of indexing the fields in a natural way. The former setting removes the arbitrariness of the index set and applies Möbius inversion directly.

In this generality, Goldstein's conjecture has been shown to be false by Weinberger [21] and Serre (independently).

## 3. CONDITIONAL THEOREMS

Let  $S$  be the set of rational primes and for each  $q \in S$ , let  $L_q/K$  be normal and of finite degree  $n(q)$  over a fixed algebraic number field  $K$ . Define for each squarefree number  $k$ ,

$$L_k = \prod_{q|k} L_q, \quad d_k = \text{disc}(L_k/Q).$$

Set  $L_1 = K$  and  $n(k) = [L_k : K]$ . Denote by  $f(x, K)$  the number of prime ideals  $\mathfrak{P}$  of  $K$  such that  $N_{K/Q}(\mathfrak{P}) \leq x$  and  $\mathfrak{P}$  does not split completely in any  $L_q$ ,  $q \in S$ .

THEOREM 1. Suppose that

$$\sum_{k=1}^{\infty} \frac{\mu^2(k)}{n(k)} < \infty$$

and

- (i) we have  $(1/n(k)) \log |d_k| = O(\log k)$ ,
- (ii) the number of prime ideals  $\mathfrak{P}$  in  $K$ ,  $N_{K/Q}(\mathfrak{P}) \leq x$ , which split completely in some  $L_q$ ,  $q > x^{1/2}/\log^2 x$  is  $o(x/\log x)$ .

Suppose further that the Riemann hypothesis is true for each of the Dedekind zeta functions  $\zeta(s, L_k/Q)$ . Then

$$f(x, K) = \delta(S) x / \log x + o(x / \log x)$$

as  $x \rightarrow \infty$ , where

$$\delta(S) = \sum_{k=1}^{\infty} \frac{\mu(k)}{n(k)}.$$

*Proof.* From our previous considerations, we know that

$$f(x, K) = \sum_{k=1}^{\infty} \mu(k) \pi_1(x, L_k/K).$$

Define, as usual,  $N(x, y)$  to be the number of prime ideals  $\mathfrak{P}$ ,  $N_{K/Q}(\mathfrak{P}) \leq x$ , which do not split completely in any  $L_q$  for  $q \leq y$ . Clearly,

$$N(x, y) = \sum' \mu(k) \pi_1(x, L_k/K),$$

where the dash on the sum indicates that all prime divisors of  $k$  are  $\leq y$ , and  $f(x, K) \leq N(x, y)$ . Now define  $M(x, \xi_1, \xi_2)$  to be the number of prime ideals

$\mathfrak{P}$  of  $K$  with  $N_{K/Q}(\mathfrak{P}) \leq x$  and  $\mathfrak{P}$  splits completely in some  $L_q$ ,  $\xi_1 \leq q \leq \xi_2$ . Clearly, if  $g(x)$  is the largest index  $m$  such that some  $\mathfrak{P}$ ,  $N_{K/Q}(\mathfrak{P}) \leq x$ , splits completely in  $L_m$ , then

$$f(x, K) \geq N(x, y) - M(x, y, g(x)).$$

We first estimate  $M(x, y, g(x))$ . Let us write

$$\begin{aligned} M(x, y, g(x)) &\leq \sum \pi_1(x, L_q/K) + M(x, x^{1/2}/\log^2 x, g(x)) \\ &= \sum_1 + M(x, x^{1/2}/\log^2 x, g(x)) \end{aligned}$$

(say), where in the first sum,  $y < q < x^{1/2}/\log^2 x$ . Assumption (ii) says that the second term is  $o(x/\log x)$ . To estimate the first sum, we apply GRH in the following form: We know from Lagarias-Odlyzko [11] that on this hypothesis,

$$\pi_1(x, L_k/K) = \frac{\text{li } x}{n(k)} + O\left(\frac{x^{1/2}}{n(k)} \log |d_k| x^{n(k)}\right),$$

where  $\text{li } x$  is the usual logarithmic integral and the constants implied are absolute. Applying this, we get that the first sum is bounded by

$$\sum_{y < q < x^{1/2}/\log^2 x} \frac{\text{li } x}{n(q)} + \sum_{y < q < x^{1/2}/\log^2 x} E(x, q),$$

where we have set for convenience

$$E(x, q) = \left| \frac{\text{li } x}{n(q)} - \pi_1(x, L_q/K) \right|.$$

We now use (i) to get

$$\begin{aligned} \sum E(x, q) &\ll \sum x^{1/2} \left( \log x + \frac{\log |d_q|}{n(q)} \right) \\ &\ll \sum x^{1/2} (\log x + \log q), \end{aligned}$$

where all the sums are in the range  $y < q < x^{1/2}/\log^2 x$ . But now, elementary estimates (which go back to Tschebyscheff) suffice to give

$$\sum E(x, q) \ll \frac{x}{\log^2 x} = o(x/\log x).$$



Finally, using

$$\sum_{k=1}^{\infty} 1/n(k) < \infty,$$

we deduce,

$$\sum_{y < q < x^{1/2}/\log^2 x} \frac{\text{li } x}{n(q)} = o(x/\log x),$$

provided  $y = y(x) \rightarrow \infty$  as  $x \rightarrow \infty$ .

Therefore,

$$f(x, K) = N(x, y) + o(x/\log x).$$

Again using GRH, we have by (i)

$$\begin{aligned} N(x, y) &= \sum' \mu(k) \left\{ \frac{\text{li } x}{n(k)} + O\left(\frac{x^{1/2}}{n(k)} \log(|d_k| x^{n(k)})\right) \right\}, \\ &= \sum' \mu(k) \left\{ \frac{\text{li } x}{n(k)} + O(x^{1/2} \log kx) \right\}, \end{aligned}$$

where the dash on both sums indicates that all prime divisors of  $k$  are  $\leq y$ . As there are at most  $2^y$  squarefree numbers composed of primes  $\leq y$ , we see by elementary estimates that

$$N(x, y) = \left( \sum' \frac{\mu(k)}{n(k)} \right) \text{li } x + O(x^{1/2} 2^y (y + \log x)).$$

Choosing  $y(x)$  so that

$$2^{y(x)} \ll x^{1/2}/\log^3 x$$

and  $y(x) \rightarrow \infty$ , we find that  $y(x) = O(\log x)$ . Therefore,

$$y 2^y x^{1/2} \ll x/\log^2 x = o(x/\log x).$$

Hence, we deduce

$$f(x, K)/(x/\log x) \rightarrow \delta(S),$$

because  $y(x) \rightarrow \infty$  as  $x \rightarrow \infty$ . This completes the proof of the theorem.

*Remark.* In order to verify condition (i) of the theorem, the following result of Hensel is quite useful (see Serre [17]):

If  $E/Q$  is normal and ramified only at the primes  $p_1, \dots, p_m$ , then,

$$\frac{1}{n} \log |d_{E/Q}| \leq \log n + \sum_{j=1}^m \log p_j,$$

where  $n = [E:Q]$ . This result enables us to deduce

**COROLLARY.** Suppose that  $\sum_{k=1}^{\infty} 1/n(k) < \infty$  and  $D$  is a finite set of primes such that

$$(i) \quad p \mid d_q \Rightarrow p = q \text{ or } p \in D.$$

If in addition,  $n(k) = O(k^A)$  for some  $A > 0$ , and (ii) is also satisfied, then

$$f(x, K) = \delta(S) x / \log x + o(x / \log x).$$

*Proof.* We need only show that (i) of the theorem is satisfied:

$$\begin{aligned} \frac{1}{n(k)} \log |d_k| &\leq \log n(k) + \sum_{p \mid d_k} \log p \\ &\ll \log k + \sum_{p \mid k} \log p \\ &\ll \log k. \end{aligned}$$

We therefore see that  $\delta(S)$  exists whenever the conditions of the theorem are satisfied. If  $\delta(S) > 0$ , then we get an infinitude of primes which do not split completely in any  $L_q$ ,  $q \in S$ . If  $\delta(S) = 0$ , it may happen that there are still an infinitude of such primes. Such a situation is illustrated in the following example:

Let  $p_1 = 3$ , and define  $p_j$  to be the smallest prime satisfying  $p_j \not\equiv 1 \pmod{p_i}$  for  $i < j$ . This sequence of primes was first discussed by Golomb [7]. Erdős [3] showed that the number of  $p_j$ 's  $\leq x$  is

$$\frac{(1 + o(1))x}{(\log x)(\log \log x)}.$$

Thus, the set of  $p_j$ 's has zero density in the set of primes. If we take

$$\mathcal{F} = \{Q(\zeta_{p_i}), i = 1, 2, \dots\},$$

then any prime  $q$  not splitting completely in  $Q(\zeta_{p_i})$  for all  $i$  must satisfy  $q \not\equiv 1 \pmod{p_i}$ . For some  $j$ , we must have  $p_j < q \leq p_{j+1}$ . Then, by our definition of  $p_{j+1}$ , we get  $q = p_{j+1}$ . This shows that the set of primes not splitting completely in any  $Q(\zeta_{p_i})$  is precisely the-set of  $p_j$ 's.

## 4. FIRST APPLICATIONS

We now derive some interesting examples from Theorem 1.

## A. Artin's Conjecture on Primitive Roots (Hooley [10])

Let  $a$  be an integer  $\neq 0, \pm 1$ , or a perfect square. Let  $\zeta_q$  be a primitive  $q$ th root of unity and  $q$  a rational prime. Take for  $S$  the set of all rational primes and  $L_q = Q(\zeta_q, a^{1/q})$ ,  $L_1 = Q$ .

We check the conditions of the corollary in this case. It is easy to see that  $p \nmid d_q$  only if  $p = q$  or  $p \mid a$ . This verifies (i). Moreover,  $n(k) = O(k^2)$ . To verify (ii), we write

$$\begin{aligned} M(x, x^{1/2}/\log^2 x, x-1) &= M(x, x^{1/2}/\log^2 x, x^{1/2} \log x) \\ &\quad + M(x, x^{1/2} \log x, x-1) \\ &= \Sigma_1 + \Sigma_2 \end{aligned}$$

(say). We observe that

$$\Sigma_1 \leq \sum \pi_1(x, L_q/Q),$$

where the summation is over those  $q$  satisfying

$$x^{1/2}/\log^2 x < q < x^{1/2} \log x.$$

To estimate  $\Sigma_1$ , we notice that  $Q(\zeta_q) \subseteq Q(\zeta_q, a^{1/q})$  so that

$$\pi_1(x, L_q/Q) \leq \pi(x, Q(\zeta_q)/Q).$$

By the Brun-Titchmarsh theorem, there is an absolute constant  $A$  such that for  $q < x$ ,

$$\pi(x, Q(\zeta_q)/Q) \leq Ax/(q-1) \log(x/q).$$

We deduce

$$\Sigma_1 \leq \frac{x}{\log x} \sum' \frac{1}{q},$$

where the dash on the summation indicates that  $q$  is in the given range for  $\Sigma_1$ . But for this sum, we have in turn,

$$\begin{aligned} \Sigma_1 &\leq \frac{x}{\log^2 x} \sum' \frac{\log q}{q} \\ &\leq x \log \log x / \log^2 x = o(x/\log x). \end{aligned}$$

To deal with  $\Sigma_2$ , recall that a rational prime  $p$  splits completely in  $L_q$  if and only if  $p \nmid a$ ,  $p \equiv 1 \pmod{q}$ , and  $a^{(p-1)/q} \equiv 1 \pmod{p}$ . As  $q > x^{1/2} \log x$  and  $p \leq x$ , we have  $(p-1)/q \leq x^{1/2}/\log x$ . Thus, such a  $p$  splits completely in some  $L_q$ , with  $q > x^{1/2} \log x$ , only when it divides

$$R = \prod_{m < x^{1/2}/\log x} (a^m - 1).$$

Therefore,  $\Sigma_2$  is bounded by the number of prime factors of  $R$ , which is trivially  $O(\log R)$ . But,

$$\log R \leq \sum_{m < x^{1/2}/\log x} m \log a \ll x/\log^2 x.$$

Therefore,  $\Sigma_2 = o(x/\log x)$  and we deduce that  $N_a(x)$ , the number of primes  $\leq x$  for which  $a$  is a primitive root, is  $\sim \delta(S) x/\log x$ .

#### B. Abelian Extensions

If, for  $q$  sufficiently large, the extensions  $L_q/Q$  are Abelian, then it is possible to solve the Artin problem in certain cases. Suppose that

- (a)  $L_q/Q$  are abelian for  $q \geq t$ ,
- (b)  $\sum_{q > y} (x + f_q)/n(q) = o(1/\log y)$ , as  $y \rightarrow \infty$ . (Here,  $f_q$  denotes the conductor of  $L_q/Q$  for  $q \geq t$ .)
- (c)  $(1/n(k)) \log |d_k| = O(\log k)$ .

Then, the set of primes which do not split completely in any  $L_q$  has a Dirichlet density.

This result follows by applying the reciprocity law to show that (ii) of the theorem is true. We know that  $p$  splits completely in  $L_q$  if and only if there are residue classes  $a_1, \dots, a_t \pmod{f_q}$  (where  $f_q$  is the conductor of  $L_q/Q$ ) such that  $p \equiv a_i \pmod{f_q}$  for some  $i$ . Now,  $t/\phi(f_q) = 1/n(q)$ , and so

$$\pi_1(x, L_q/Q) \leq (x + f_q)/n(q),$$

giving us that

$$\sum_{q > x^{1/2}/\log^2 x} \pi_1(x, L_q/Q) = o(x/\log x)$$

as desired.

An erroneous version of the above was proved in [5].

## 5. APPLICATION TO ELLIPTIC CURVES

Let  $E$  be an elliptic curve over  $Q$ . We want to determine the number of primes  $p \leq x$ , at which  $E$  has good reduction and such that  $E(\mathbb{F}_p)$ , the group of points (mod  $p$ ), is cyclic.

Let us recall some facts about elliptic curves. First consider an elliptic curve  $\bar{E}$  over  $\bar{\mathbb{F}}_p$ , algebraic closure of the finite field of  $p$  elements. For any prime  $q$ , let

$$\bar{E}_q = \ker(\bar{E} \xrightarrow{q} \bar{E}),$$

where  $q(x) = q \cdot x$ . It is known that  $\bar{E}_q \simeq (\mathbb{Z}/q\mathbb{Z})^2$  if  $p \neq q$  and if  $p = q$ ,  $\bar{E}_q$  is isomorphic to a subgroup of  $(\mathbb{Z}/p\mathbb{Z})$ .

Now, let  $E$  be an elliptic curve over  $Q$  and  $E_q$  be the  $q$ -division points of  $E$ . That is,

$$E_q = \ker(E \xrightarrow{q} E),$$

where the map is multiplication by  $q$ . Set  $L_q = Q(E_q)$ . Clearly,  $L_q$  is normal over  $Q$ . It is known that  $L_q$  is ramified only at  $q$  and those primes dividing the conductor of  $E$ . We shall also use fact that  $L_q \supseteq Q(\zeta_q)$ .

**LEMMA 1.** *Let  $G$  be a finite Abelian group. Then  $G$  is cyclic if and only if  $G$  does not contain a  $(q, q)$  group for any prime  $q$ .*

*Proof.* This result is clear.

**COROLLARY.** *If  $E$  is an elliptic curve over  $\mathbb{F}_p$ , then  $E(\mathbb{F}_p)$  is cyclic if and only if it does not contain a subgroup of type  $(q, q)$ ,  $q \neq p$ .*

**LEMMA 2.** *Let  $p$  be a prime  $\neq q$ . Suppose  $E$  has good reduction at  $p$ . Then  $p$  splits completely in  $L_q$  if and only if  $E(\mathbb{F}_p)$  contains a  $(q, q)$  group.*

*Proof.* We look at the reduced curve  $\bar{E}$  over  $\mathbb{F}_p$ . Let  $\pi_p$  be the endomorphism of  $\bar{E}$  given by  $\pi_p(x) = x^p$ . Then,

$$\pi_p: \bar{E} \rightarrow \bar{E}$$

is a homomorphism and  $\ker(\pi_p - 1) = E(\mathbb{F}_p)$ . Hence,  $E(\mathbb{F}_p)$  contains a  $(q, q)$  group if and only if  $\pi_p$  acts trivially on  $\bar{E}_q$ . Hence, the decomposition group of any prime lying above  $p$  is trivial if and only if  $E(\mathbb{F}_p)$  contains a  $(q, q)$  group. This gives the result.

**COROLLARY.** *A prime  $p$  does not split completely in any  $L_q$  if and only if  $E(\mathbb{F}_p)$  is cyclic.*

Thus, we see that the Artin problem for the family  $\mathcal{F} = \{L_q : q \text{ prime}\}$  determines the number of  $p \leq x$ , such that  $E(\mathbb{F}_p)$  is cyclic. We now apply the corollary to Theorem 1 and deduce

**THEOREM 2 (Serre).** *Subject to the GRH, we have for any elliptic curve  $E$  over  $\mathbb{Q}$ ,*

$$\lim_{x \rightarrow \infty} \frac{f(x, \mathbb{Q})}{x/\log x} = c_E,$$

where  $f(x, \mathbb{Q}) =$  number of  $p \leq x$ , such that  $E(\mathbb{F}_p)$  is cyclic.

*Remark.* Serre has shown that the constant  $c_E$  is nonzero whenever  $E$  has an irrational point of order 2. If all the 2-division points are rational, then clearly  $E(\mathbb{F}_p)$  is not cyclic for all primes sufficiently large.

*Proof.* It is known that there is a finite set of primes  $S$  such that if  $k$  is not divisible by any of the primes in  $S$ , then  $n(k) \geq k^{3/2}$ . In case  $E$  has complex multiplication, this follows from classical results. If  $E$  does not have complex multiplication, the result follows from Serre [19], who showed that  $\text{Gal}(L_k/\mathbb{Q}) \simeq \text{GL}_2(\mathbb{Z}/k\mathbb{Z})$  whenever  $k$  is coprime to a certain finite set of primes. In either case, we have  $\sum \mu^2(k)/n(k) < \infty$ .

Since  $L_q$  is unramified over  $\mathbb{Q}$  except for  $q$  and a finite number of primes dividing the discriminant of  $E$ , we see that (i) of Theorem 1 is satisfied by Hensel. If  $p \leq x$  and  $p$  splits completely in  $L_q$ , then  $E(\mathbb{F}_p)$  contains a  $(q, q)$  group and so  $q^2 \mid (p + 1 - a_p)$ . Therefore,  $q \leq 2\sqrt{x}$ . We need to estimate

$$\sum_{\sqrt{x}/\log^2 x < q < 2\sqrt{x}} \pi_1(x, L_q/\mathbb{Q}).$$

Since  $L_q \supseteq \mathbb{Q}(\zeta_q)$ , we use the Brun-Titchmarsh theorem and get that the above sum is

$$\ll \sum_{\sqrt{x}/\log^2 x < q < 2\sqrt{x}} \frac{x}{q \log(x/q)} \ll \frac{x}{\log x} \sum' \frac{1}{q},$$

where the dash on the summation indicates the range  $x^{1/2}/\log^2 x < q < 2x^{1/2}$ . This last sum can be estimated easily, using

$$\sum_{p < x} \frac{1}{p} = \log \log x + B + O\left(\frac{1}{\log x}\right).$$

We get

$$\sum' \frac{1}{q} = O\left(\frac{\log \log x}{\log x}\right).$$

Therefore,

$$\sum' \pi_1(x, L_q/Q) = o(x/\log x)$$

as desired. This completes the proof.

## 6. UNCONDITIONAL THEOREMS

Let  $E$  be an elliptic curve over  $Q$  with complex multiplication by an order in an imaginary quadratic field  $k$ . Then, one can show that

$$\text{card}(p \leq x: E(F_p) \text{ is cyclic}) \sim c_E(x/\log x)$$

as  $x \rightarrow \infty$ , without any hypothesis. Bombieri's theorem in algebraic number fields allows us to remove the presence of GRH in our previous theorem.

Suppose  $K$  is an algebraic number field and  $q$  is an ideal of  $O_K$ . The residue classes of integers coprime to  $q$  form a group under multiplication, the order of which is denoted  $\phi(q)$ . Two ideals of  $K$ ,  $a$  and  $b$ , are said to be equivalent (mod  $q$ ) and  $ab^{-1} = (\alpha/\beta)$  with  $\alpha \equiv \beta \pmod{*q}$ , ( $\alpha, \beta \in O_K$ ), where this last condition means that  $(\alpha - \beta) \in q$  and all the real conjugates (if any) of  $\alpha/\beta$  are positive. This defines an equivalence relation and the number of equivalence classes is denoted  $h(q)$ . The equivalence classes form an Abelian group under multiplication called the  $q$ -ideal class group. It has order

$$h(q) = h 2^{r_1} \phi(q) / T(q),$$

$h$  is the class number of  $K$ ,  $r_1$  is the number of real embeddings of  $K$ , and  $T(q)$  is the number of residue classes (mod  $*q$ ) containing a unit.

The ray class field belonging to an ideal  $q$  is the Abelian extension  $L$  of  $K$  such that the set of prime ideals of  $K$  which split completely in  $L$  are precisely those prime ideals lying in the unit class of the  $q$ -ideal class group; that is, those prime ideals which are principal, generated by an element  $\alpha \equiv 1 \pmod{*q}$ .

We can now state Bombieri's theorem in algebraic number fields. Set

$$\psi(z, q, a) = \sum_{\substack{\mathfrak{P} \sim a(q) \\ N_{K/Q}(\mathfrak{P}) < z}} \log N_{K/Q}(\mathfrak{P}).$$

LEMMA 3 (Wilson [22]). For each positive constant  $A$ , there is a  $B = B(A)$ , such that if  $Q = x^{1/(n+1)} \log^{-B} x$ ,  $n = [K:Q]$ , then for  $x \geq 1$ ,

$$\sum_{N_{K/Q}(q) < Q} \max_{z < x} \max_{\substack{a(q) \\ (a, q) = 1}} \frac{1}{T(q)} \left| \psi(z, q, a) - \frac{z}{h(q)} \right| \ll \frac{x}{\log^A x}.$$

Since  $T(q) \gg 1$  for an imaginary quadratic field, we see that for such a field  $K$ ,

$$\sum_{N_{K/Q}(q) \leq Q} \max_{z \leq x} \max_{(a,q)=1} \left| \psi(z, q, a) - \frac{z}{h(q)} \right| \ll x \log^{-A} x.$$

We shall be applying this result when  $K$  is an imaginary quadratic field. Therefore  $r_1 = 0$  above.

Given any elliptic curve  $E$  over an algebraic number field, consider the group of endomorphisms of  $E$ , denoted  $\text{End}(E)$ . The addition law on  $E$  gives that  $\text{End}(E) \supseteq \mathbb{Z}$  because each of the maps  $\phi_n(x) = nx$ ,  $x \in E$ , is an endomorphism. If  $\text{End}(E) \neq \mathbb{Z}$ , one says that  $E$  has *complex multiplication*. In this case, it is known that  $\text{End}(E)$  must be an order in an imaginary quadratic field  $k$ . (An *order* is a free  $\mathbb{Z}$  module of rank  $[k:\mathbb{Q}] = 2$  containing  $\mathbb{Z}$ .) All orders  $\mathcal{O}$  of  $k$  are of the form  $\mathcal{O} = \mathbb{Z} + c\mathcal{O}_k$ , where  $c$  is the *conductor* of  $\mathcal{O}$ .

Now let  $E$  be an elliptic curve over  $\mathbb{Q}$  which has complex multiplication by an order of an imaginary quadratic field  $k$ . If  $m$  is a natural number, let  $E_m$  be the  $m$ -division points of  $E$ . Define  $L_m = k(E_m)$ . Then it is known that  $L_m/k$  contains the ray class field  $k_m$  of  $k$  corresponding to the ideal  $m\mathcal{O}_k$ . (See Lang [12, p. 216].)

LEMMA 4. *Let  $E$  be an elliptic curve over  $\mathbb{Q}$  with complex multiplication by an order  $\mathcal{O}$  in  $k$ . There is an ideal  $\mathfrak{f}$  depending only on  $E$  such that*

$$k_m \subseteq L_m \subseteq k_{\mathfrak{f}m},$$

where  $k_m$  and  $k_{\mathfrak{f}m}$  are the ray class fields of  $k$  of levels  $m$  and  $\mathfrak{f}m$ , respectively.

*Proof.* As  $E$  has complex multiplication,  $L_m/k$  is Abelian and hence is contained in a ray class field. By class field theory, it suffices to determine the subgroup  $H$  of the ideal class group  $k_A^\times$  such that  $L_m$  is class field to  $H$ . That is, we determine the subgroup of  $k_A^\times$  which fixes  $L_m$ . Let  $\varphi: k_A^\times \rightarrow k$  be the homomorphism such that  $\varphi(x)/x_\infty$  is the grössencharacter of  $E$ . Then, we know

$$\varphi(s) s^{-1} \mathcal{O} = \mathcal{O}.$$

The main theorem of complex multiplication (see Shimura [20, p. 211]) gives that  $s \in k_A^\times$  fixes  $L_m$  if and only if

$$\xi(\varphi(s) s^{-1} t) = \xi(t) \quad \text{for all } t \in (1/m) \mathcal{O},$$



where  $\xi$  is an isomorphism of  $C/\mathcal{O}$  to  $E$ . Hence, as  $\varphi(x) = x$  for all  $x \in k$ , we deduce that

$$\varphi(s)s^{-1} \in U_{\mathcal{O}} \cap U_{\mathcal{O}'} \cap U_{m\mathcal{O}'},$$

where

$$U_{\mathcal{O}} = \ker \varphi,$$

$$U_{\mathcal{O}'} = \{s \in k_{\mathcal{O}'}^{\times} : s\mathcal{O} = \mathcal{O}'\},$$

$$U_{m\mathcal{O}'} = \{s \in k_{\mathcal{O}'}^{\times} : s \equiv 1 \pmod{m\mathcal{O}'}\}.$$

Thus,  $s \in k^{\times}(U_{\mathcal{O}} \cap U_{\mathcal{O}'} \cap U_{m\mathcal{O}'})$ . Conversely, if  $s = ar$ ,  $a \in k$ ,  $r \in U_{\mathcal{O}} \cap U_{\mathcal{O}'} \cap U_{m\mathcal{O}'}$ , then

$$\varphi(s) = \varphi(a)\varphi(r) = a,$$

and so  $\varphi(s)s^{-1}\mathcal{O} = \mathcal{O}$ ,  $\varphi(s)s^{-1} \in \ker \varphi$ , and  $s$  fixes  $L_m$ . We conclude that  $L_m$  is class field to

$$H = k^{\times}(U_{\mathcal{O}} \cap U_{\mathcal{O}'} \cap U_{m\mathcal{O}'}).$$

But then, if  $f_{\mathcal{O}}$  = conductor of  $\varphi$  and  $c$  is the conductor of  $\mathcal{O}$  and we set  $f = \text{lcm}(f_{\mathcal{O}}, c)$ , then we see that

$$k^{\times}U_{m\mathcal{O}'} \supseteq H \supseteq k^{\times}U_{f\mathcal{O}'}$$

so that

$$k_m \subseteq L_m \subseteq k_{fm}$$

as desired.

This lemma allows us to deduce that if  $\mathfrak{P}$  is a prime ideal of  $O_k$  which splits completely in  $L_m$ , then  $\mathfrak{P} \sim a_1$ , or  $a_2, \dots$ , or  $a_t \pmod{fmO_k}$ , with  $t$  bounded because  $[k_{fm} : L_m]$  is bounded.

LEMMA 5. The number of  $\alpha \in O_k$ , with  $N_{k/Q}(\alpha) \leq x$ , and  $\alpha \equiv 1 \pmod{mO_k}$  is  $O(x/m^2)$ .

*Proof.* Let  $1, \lambda$  be an integral basis of  $O_k$ . Then  $\alpha = a + b\lambda$  for some  $a, b \in \mathbb{Z}$ . Therefore,  $a - 1 = mc$ ,  $b = md$  for some  $c, d \in \mathbb{Z}$ . If  $k = Q(\sqrt{-D})$ , we have either  $a^2 + Db^2 \leq x$ , or  $(a + b/2)^2 + b^2D/4 \leq x$ . In either case,  $a = O(x^{1/2})$  and  $b = O(x^{1/2})$ . Since  $m \mid b$ , and  $m \mid (a - 1)$ , we get a total of  $O(x/m^2)$  possibilities for  $\alpha$ .

We now find an asymptotic formula for a weighted sum over the prime

ideals  $\mathfrak{P}$  of  $O_k$  such that  $N_{k/Q}(\mathfrak{P}) \leq x$ , and  $\mathfrak{P}$  does not split completely in  $L_q$  for all primes  $q$ . Set

$$\phi(x, L_m/k) = \sum_{l=1}^l \psi(x, \{mO_k, a_l\}).$$

We estimate

$$T(x) = \sum_{m=1}^{\infty} \mu(m) \phi(x, L_m/k).$$

Let us write

$$\begin{aligned} T(x) &= \sum_{m < x^{1/6}/\log^{B/2} x} + \sum_{m > x^{1/6}/\log^{B/2} x} \\ &= \Sigma_1 + \Sigma_2 \end{aligned}$$

(say), where the constant  $B$  is soon to be specified. By Lemma 4,

$$\begin{aligned} \Sigma_2 &\ll \sum_{m > x^{1/6}/\log^{B/2} x} \phi(x, L_m/k) \\ &\ll (\log x) \sum_{m > x^{1/6}/\log^{B/2} x} x/m^2 \\ &\ll x^{5/6} (\log x)^{1+B/2}. \end{aligned}$$

In order to estimate  $\Sigma_1$ , we make use of Lemma 3 with  $K = k$ ,  $Q = x^{1/3} \log^{-B(A)} x$ . We note that  $[L_m : k] \ll h((m))$  and write

$$\Sigma_1 = \sum' \mu(m) \frac{x}{[L_m : k]} + \sum' \mu(m) \left\{ \phi(x, L_m) - \frac{x}{[L_m : k]} \right\},$$

where the dash on the summation indicates that  $m < x^{1/6}/\log^{B/2} x$ . On the last sum, we have to estimate

$$\sum \left| \phi(x, L_m/k) - \frac{x}{[L_m : k]} \right|.$$

If  $m$  is in the specified range, then  $N_{k/Q}(mO_k) \leq x^{1/3}/\log^B x$ . Lemma 3 implies that this sum is

$$O(x/\log^4 x).$$

If we choose  $A = 2$ , then  $B = B(A)$  is given by Lemma 3 and is now specified. Finally,

$$T(x) = \sum' \mu(m) \frac{x}{[L_m : k]} + O(x \log^{-A} x)$$

and since

$$\begin{aligned} \sum_{m > x^{1/6}/\log^{B/2} x} [L_m : k]^{-1} &\ll \sum_{m > x^{1/6}/\log^{B/2} x} m^{-3/2} \\ &= O(x^{-1/12} \log^{B/4} x), \end{aligned}$$

we get

$$T(x) = x \sum_{m=1}^{\infty} \mu(m) [L_m : k]^{-1} + O(x \log^{-A} x)$$

for any  $A > 0$ . Since the number of prime ideals  $\mathfrak{P}$  of  $k$  with degree  $\geq 2$  and  $N_{k/Q}(\mathfrak{P}) \leq x$  is  $O(x^{1/2})$ , we deduce that  $T(x)$  enumerates those prime ideals, with a weight of  $\log N_{k/Q}(\mathfrak{P})$ , which do not split completely in any  $L_q$ .

This settles the question over  $k$ . To "come down to  $Q$ ," we need to make use of

LEMMA 6. If  $m > 2$ , then  $k(E_m) = Q(E_m)$ .

*Proof.* If we can show  $k \subseteq Q(E_m)$ , then we are done. Let  $\tau \in \text{Gal}(\bar{Q}/Q)$ ; fix  $Q(E_m)$ . Let  $k$  be identified with its normalized embedding in  $\text{End}_c(E)$  as in Shimura [20, p. 113]. Then, we show that  $\tau$  fixes  $k$  if  $m$  is greater than 2, so that the result would follow by Galois theory. Suppose not. Then  $\tau$  restricted to  $k$  is complex conjugation. Let  $\varphi_\lambda \in \text{End}(E)$  be given by  $\varphi_\lambda(x) = \lambda x$ . For  $x \in E_m$ , we have  $\varphi_\lambda(x) \in E_m$  so that

$$\tau(\varphi_\lambda(x)) = \varphi_\lambda(x) = \lambda x.$$

On the other hand,

$$\tau(\varphi_\lambda(x)) = \tau(\lambda x) = \tau(\lambda) \tau(x) = \bar{\lambda} x.$$

Therefore,  $(\bar{\lambda} - \lambda)x = 0$  for all  $x \in E_m$ . Hence,

$$2 \text{Im}(\lambda) \equiv 0 \pmod{m\mathcal{O}}$$

for all  $\lambda \in \mathcal{O}$ . In particular,  $2\sqrt{-D} = mb\sqrt{-D}$  or  $\sqrt{-D} = mb\sqrt{-D}/2$ , so that  $mb = 2$  and therefore  $m \mid 2$ . This completes the proof.

This lemma shows that  $Q_m = L_m$  for  $m$  squarefree and  $> 2$ . The sum

$$T_0(x) = \sum_{\substack{p \text{ does not} \\ \text{split completely} \\ \text{in any } Q_m/Q, p < x}} \log p$$

can be written as

$$T_0(x) = \sum_{m=1}^{\infty} \mu(m) \phi_0(x, Q_m/Q),$$

where

$$\phi_0(x, Q_m/Q) = \sum_{\substack{p \text{ splits comp} \\ \text{in } Q_m, p < x}} \log p.$$

Now,  $T(x)/2$  is the number of primes  $\leq x$ , weighted by  $\log p$ , which split completely in  $k$  but not in any  $L_m/Q$ . Taking into account the primes which do not split in  $k$ , we find by using the prime number theorem for  $k/Q$ , that for any  $A > 0$ ,

$$x/2 + T(x)/2 + O(x \log^{-A} x)$$

is the weighted enumeration of primes  $\leq x$  not splitting completely in any  $L_m/Q$ . For  $m > 2$ ,  $L_m = Q_m$  and so

$$\begin{aligned} T_0(x) &\geq x/2 + T(x)/2 + \phi_0(x, L_2/Q) + \phi_0(x, Q_2/Q) + O(x \log^{-A} x) \\ &= \sum_{m=1}^{\infty} \mu(m) \frac{x}{[Q_m:Q]} + O(x \log^{-A} x), \end{aligned}$$

for any  $A > 0$ , by our previous calculation. Since we always have

$$\lim_{x \rightarrow \infty} T_0(x)/x \leq \sum_{m=1}^{\infty} \mu(m) [Q_m:Q]^{-1},$$

we deduce the asymptotic formula for  $T_0(x)$ .

We must relate this to  $f(x, Q)$ . We have

$$(\log x^{1-\delta}) \sum'_{x^{1-\delta} < p < x} 1 \leq \sum'_{x^{1-\delta} < p < x} \log p = T_0(x) - T_0(x^{1-\delta}),$$

where  $\delta > 0$  and the dash on the summation means that we sum over those  $p$  for which  $E(\mathbb{F}_p)$  is cyclic.

The above shows that

$$\frac{T_0(x)}{\log x} \leq f(x, Q) \leq \frac{T_0(x) - T_0(x^{1-\delta})}{(1-\delta)\log x}$$

Choosing  $\delta = 2 \log \log x / \log x$  gives

THEOREM 3. For any elliptic curve  $E$  over  $Q$  with complex multiplication, we have

$$\lim_{x \rightarrow \infty} \frac{f(x, Q)}{x/\log x} = \sum_{m=1}^{\infty} \mu(m)[Q_m:Q]^{-1}.$$

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