

critical line $\text{Res} = \frac{1}{2}$ does not contain an arithmetical progression. It follows that $f_{\alpha,\beta}$ is not constant.

Case 4. $\sigma = \frac{1}{2}$. In this case we invoke a theorem of Putnam [2] saying that the set of zeros of $\zeta(s)$ on the critical line $\text{Res} = \frac{1}{2}$ does not contain an arithmetical progression. It follows that also in this case $f_{\alpha,\beta}$ cannot be constant.

Case 5. $0 < \sigma < \frac{1}{2}$. Because of the functional equation for $\zeta(s)$ this case may be reduced to Case 3.

Summarizing, we have the following

THEOREM. *If α and β are positive constants and $f_{\alpha,\beta}: \mathbf{R} \rightarrow \mathbf{R}$ is defined by (3) then $f_{\alpha,\beta}$ is a constant function only in case $\alpha = \beta = \frac{\log 2}{k}$, where k is any positive integer.*

Acknowledgement. The author wishes to thank J. Vaaler at the California Institute of Technology for drawing his attention to Putnam's paper [2].

References

- [1] K. Knopp, *Theory and application of infinite series*, Blackie and Son Ltd., 1928.
- [2] C. R. Putnam, *On the non-periodicity of the zeros of the Riemann zeta-function*, Amer. J. Math. 76 (1954), pp. 97-99.
- [3] E. C. Titchmarsh, *The theory of the Riemann zeta-function*, Oxford 1951.
- [4] *Wiskundige Opgaven*, Noordhoff N. V., Groningen, 19, I (1950), pp. 308-311.

MATHEMATICAL CENTRE
 Amsterdam, Netherlands

Received on 26. 11. 1976

(897)

Some results in number theory, I

by

M. RAM MURTY (Cambridge, Mass.) and V. KUMAR MURTY (Ottawa, Ont.)

Dedicated to the memory of Professor Paul Turán

Let $\varphi(n)$ denote Euler's totient function and $V(n)$ the number of distinct prime factors of n . In this paper, we shall study the quantity $V((n, \varphi(n)))$ which arises naturally in group theory. For example, letting $G(n)$ denote the number of non-isomorphic groups of order n , we have by a classical result of Burnside that $G(n) = 1$ if and only if $V(n, \varphi(n)) = 0$ (i.e. $(n, \varphi(n)) = 1$). Erdős [1] showed that the number $F_1(x)$ of $n \leq x$ satisfying the latter condition is

$$(1) \quad F_1(x) = (1 + o(1)) x e^{-\gamma} / \log_3 x$$

where γ is Euler's constant and we write $\log_1 x = \log x$, $\log_a x = \log(\log_{a-1} x)$. More generally, we can define $F_k(x)$ to be the number of $n \leq x$ for which $G(n) = k$. The authors [2] have shown that for each k ,

$$F_k(x) \ll x / \log_4 x.$$

The proof depended essentially on a weak form of the following result stated by Erdős in [1]: for each $\varepsilon > 0$, the number of $n \leq x$ that fail to satisfy

$$(1 - \varepsilon) \log_4 n < V(n, \varphi(n)) < (1 + \varepsilon) \log_4 n$$

is $o(x)$. (A proof of this was supplied by the authors in [2].)

It is an interesting number-theoretic problem to estimate the number $A_k(x)$ of $n \leq x$ for which $V(n, \varphi(n)) = k$. Our main result here is the following theorem.

THEOREM. *For each $k \geq 0$, we have*

$$(2) \quad A_k(x) = \frac{(1 + o(1)) x e^{-\gamma} (\log_4 x)^k}{k \log_3 x}.$$

The proof will require several lemmas and intermediate results. The first two lemmas are due to Erdős [1].

LEMMA 1. Let p be a fixed prime. Then

$$\sum_{s \leq x}^* \frac{1}{s} \ll \frac{1}{p} (\log p + \log_2 x),$$

where the asterisk indicates that the sum is over primes $s \leq x, s \equiv 1 \pmod{p}$.

We remark here that unless otherwise stated, p, q and s will denote primes.

LEMMA 2. Let $p < (\log_2 x)^{1-\varepsilon}$. Then the number of $pm \leq x$ such that m has no prime divisor $\equiv 1 \pmod{p}$ is $o(x/(\log_2 x)^2)$, uniformly in p .

LEMMA 3. Let $H_k(x)$ be the number of $n \leq x$ of the form $n = p_1 p_2 \dots p_k m$, where

- (i) $p_i < (\log_2 x)^{1-\varepsilon}, i = 1, 2, \dots, k,$
- (ii) all the prime divisors of m are $\geq (\log_2 x)^{1+\varepsilon},$
- (iii) $(m, \varphi(m)) = 1.$

Then for each fixed $k,$

$$H_k(x) = \frac{(1 + o(1)) x e^{-\gamma} (\log_4 x)^k}{k! \log_3 x}.$$

Proof. By definition,

$$(3) \quad H_k(x) = \sum_p \sum_m^* 1$$

where the outer sum is over all $p_i < (\log_2 x)^{1-\varepsilon} (1 \leq i \leq k)$ and the inner sum is over $m \leq x/p_1 \dots p_k$ satisfying (ii) and (iii). Erdős' proof of (1) shows that

$$(4) \quad \sum_m^* 1 = \frac{(1 + o(1)) x e^{-\gamma}}{(p_1 p_2 \dots p_k) \log_3 x}$$

and as the product $p_1 \dots p_k$ is obtained $k!$ times in the k -fold outer sum of (3), we get

$$H_k(x) = \frac{(1 + o(1)) x e^{-\gamma}}{k! \log_3 x} \left(\sum_p \frac{1}{p} \right)^k = \frac{(1 + o(1)) x e^{-\gamma} (\log_4 x)^k}{k! \log_3 x}$$

proving the lemma.

We are now ready to prove our theorem.

Proof of theorem. We shall give the proof for $k = 1$ and then sketch the modifications needed for general k . Write

$$(5) \quad A_1(x) = A_1'(x) + A_1''(x)$$

where $A_1'(x)$ counts the contribution of squarefree n to $A_1(x)$ and $A_1''(x)$ counts the remaining n . First we estimate $A_1''(x)$. If n is not squarefree and $V(n, \varphi(n)) = 1$ then certainly $n = p^a m (a \geq 2)$ with $(p, m) = 1$ and

$(m, \varphi(m)) = 1$. The number of such $n \leq x$ with $p > \log_2 x = y$ (say) is clearly

$$\ll \sum_{p > y} \sum_{a \geq 2} \frac{x}{p^a} \ll \frac{x}{y},$$

and the number of remaining non-squarefree n in $A_1(x)$ is

$$\ll \sum_{y \leq p} \sum_{a \geq 2} A_0 \left(\frac{x}{p^a} \right) \ll \frac{x}{\log_3 x}$$

using (1). Thus, we have

$$(6) \quad A_1''(x) \ll x/\log_3 x.$$

If n is squarefree, then $V(n, \varphi(n)) = 1$ implies that

$$(7) \quad n = pm, \quad (m, \varphi(m)) = 1 \text{ and } m \text{ has at least one prime divisor } q \equiv 1 \pmod{p}.$$

Let $\varepsilon > 0$ be fixed. Then, we write

$$A_1'(x) = \Sigma_1 + \Sigma_2 + \Sigma_3 + \Sigma_4$$

where the sums are over those $n \leq x$ in $A_1'(x)$ of the form (7) and

- in $\Sigma_1, \quad p > (\log_2 x)^{1+\varepsilon},$
- in $\Sigma_2, \quad (\log_2 x)^{1-\varepsilon} \leq p \leq (\log_2 x)^{1+\varepsilon},$
- in $\Sigma_3, \quad p < (\log_2 x)^{1-\varepsilon}$ and at least one prime divisor of m is $< (\log_2 x)^{1-\varepsilon},$
- in $\Sigma_4, \quad p < (\log_2 x)^{1-\varepsilon}$ and all the prime divisors of m are $> (\log_2 x)^{1-\varepsilon}.$

Clearly, we have by Lemma 1,

$$(8) \quad \Sigma_1 \ll \sum_p \sum_{q < x}^* \frac{x}{pq} \ll \sum_p \frac{x}{p^2} (\log p + \log_2 x) \ll \frac{x}{(\log_2 x)^{1+\varepsilon}} (\log_3 x + \log_2 x) = o(x \log_4 x / \log_3 x).$$

Also, we get from (1) that

$$(9) \quad \Sigma_2 \ll \sum_p A_0 \left(\frac{x}{p} \right) \ll \sum_p \frac{x}{p \log_3(x/p)} \ll x/\log_3 x,$$

where all the sums are over p in the range indicated for Σ_2 . Now from Lemma 2, the number of $m \leq x, (m, \varphi(m)) = 1$ which have a prime divisor $< (\log_2 x)^{1-\varepsilon}$ is

$$o(x/(\log_2 x)^2) (\log_2 x)^{1-\varepsilon} = o(x/\log_2 x).$$

Hence,

$$(10) \quad \Sigma_3 = o \left(\frac{x}{\log_2 x} \sum_p \frac{1}{p} \right) = o \left(\frac{x \log_4 x}{\log_2 x} \right)$$

where the sum is over $p < (\log_2 x)^{1-\varepsilon}$. For Σ_4 , we write

$$(11) \quad \Sigma_4 = \Sigma'_4 + \Sigma''_4$$

where in the first sum, all the prime divisors of m are $> (\log_2 x)^{1+\varepsilon}$, and the second sum contains the remaining n of Σ_4 . Thus, for the n in Σ''_4 , there is a prime divisor q (say) with

$$(12) \quad (\log_2 x)^{1-\varepsilon} < q < (\log_2 x)^{1+\varepsilon}$$

so

$$\Sigma''_4 \ll \sum_p \sum_q A_0(x/pq) \ll \frac{x}{\log_3 x} \sum_{p,q} \frac{1}{pq}$$

where the sum over q is in the range (12) and the sum over p is in the range specified for Σ_4 . The sum over q is clearly $< \varepsilon$ so we get

$$(13) \quad \Sigma''_4 < \varepsilon x \log_4 x / \log_3 x.$$

Finally, recalling the definition of $H_1(x)$ from Lemma 3, noting that our n are now squarefree, and that in the range of Σ_4 every number in (7) satisfies $V(n, \varphi(n)) = 1$, we get

$$H_1(x) \geq \Sigma'_4 \geq H_1(x) - T(x)$$

where $T(x)$ is the number of $pm = n \leq x$ such that m has no prime divisor $\equiv 1 \pmod{p}$ and p is in the range specified for Σ_4 . Lemmas 2 and 3 imply that

$$(14) \quad \Sigma'_4 = \frac{(1 + o(1)) x e^{-\gamma} \log_4 x}{\log_3 x}$$

so that combining (5), (6), (8)–(11), (13) and (14), and noting that $\varepsilon > 0$ was arbitrary, the proof for $k = 1$ is completed.

Now we sketch the modifications needed in the above proof, for general k . As before, we write $A_k(x) = A'_k(x) + A''_k(x)$ using the notation as in (5). Recalling $y = \log_2 x$, we get

$$(15) \quad A''_k(x) \ll \sum_{p \leq y} \sum_{a \geq 2} A_{k-1} \left(\frac{x}{p^a} \right) + \frac{x}{y} \ll \frac{x (\log_4 x)^{k-1}}{\log_3 x}$$

by induction. To estimate $A'_k(x)$, we write the $n \leq x$ that are counted, in the form

$$(16) \quad n = p_1 \dots p_k m, \quad (m, \varphi(m)) = 1 \quad \text{and} \quad (n, \varphi(n)) = p_1 \dots p_k.$$

Then as before,

$$A'_k(x) = \Sigma_1 + \Sigma_2 + \Sigma_3 + \Sigma_4$$

where now, the sums are over those $n \leq x$ of the form (16) and

$$\begin{aligned} \text{in } \Sigma_1, & \quad \text{some } p_i > (\log_2 x)^{1+\varepsilon}, \\ \text{in } \Sigma_2, & \quad \text{some } p_i \text{ satisfies } (\log_2 x)^{1-\varepsilon} < p_i < (\log_2 x)^{1+\varepsilon}, \\ \text{in } \Sigma_3, & \quad \text{all } p_i < (\log_2 x)^{1-\varepsilon} \text{ and at least one prime divisor of } m \text{ is} \\ & \quad < (\log_2 x)^{1-\varepsilon}, \\ \text{in } \Sigma_4, & \quad \text{all } p_i < (\log_2 x)^{1-\varepsilon} \text{ and all the prime divisors of } m \text{ are} \\ & \quad > (\log_2 x)^{1-\varepsilon}. \end{aligned}$$

For Σ_1 , the estimate (8) holds as before, and also

$$(17) \quad \Sigma_2 \ll x / \log_3 x, \quad \Sigma_3 = o(x (\log_4 x)^k / \log_3 x),$$

by simple modifications in (9) and (10). Finally, writing $\Sigma_4 = \Sigma'_4 + \Sigma''_4$ in the same notation as in (11), we find again by a simple modification that

$$(18) \quad \Sigma''_4 = o(x (\log_4 x)^k / \log_3 x)$$

and

$$(19) \quad H_k(x) \geq \Sigma'_4 \geq H_k(x) - \sum_{j=0}^{k-1} A_j(x)$$

as clearly all n counted by $H_k(x)$ satisfy $V(n, \varphi(n)) \leq k$. By induction,

$$\sum_{j=0}^{k-1} A_j(x) \ll x (\log_4 x)^{k-1} / \log_3 x,$$

so that by Lemma 3, we get from (19) that

$$(20) \quad \Sigma'_4 = \frac{(1 + o(1)) x e^{-\gamma} (\log_4 x)^k}{k! \log_3 x}$$

and combining (15), (8), (17), (18) and (20), the proof of the theorem is complete.

Acknowledgement. We would like to thank Professor K. S. Williams for his helpful comments.

References

- [1] P. Erdős, *Some asymptotic formulas in number theory*, J. Indian Math. Soc. 12 (1948), pp. 75–78.
- [2] M. Ram Murty and V. Kumar Murty, *The number of groups of a given order*, to appear.

M.I.T.
Cambridge, Massachusetts, U.S.A.
CARLETON UNIVERSITY
Ottawa, Ontario, Canada

Received on 31. 12. 1976

(906)