Approximate Categories for the Graph Isomorphism Problem

Harm Derksen

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The Orbit Problem

k a field with algebraic closure \overline{k} G a linear algebraic group defined over k V a representation of G

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Orbit Problem

Given $v, w \in V$, do v, w lie in the same $G(\overline{k})$ -orbit?

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Isomorphism problems can be translated to orbit problems.

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 Γ_1, Γ_2 graphs with vertex set $\{1, 2, ..., n\}$ $A_1, A_2 \in Mat_{n,n}(k)$ the adjacency matrices of Γ_1, Γ_2 respectively

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G set of $n \times n$ permutation matrices G acts on $Mat_{n,n}(k)$ ($n \times n$ matrices) by conjugation: $P \cdot A := PAP^{-1}$, $P \in G$, $A \in Mat_{n,n}(k)$

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Translation of Isomorphism Problem into Orbit Problem

 $\Gamma_1 \cong \Gamma_2 \Leftrightarrow A_1, A_2$ in same *G*-orbit

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We'll get back to graphs later.

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Remark

A, B in same G(k)-orbit $\Leftrightarrow A, B$ in same $G(\overline{k})$ -orbit

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Complexity of the Module Isiomorphism Problem

Theorem (Chistov–Invanyos–Karpinski '97, Brooksbank–Luks '08)

There exists a T-module isomorphism test that requires only a polynomial number (in the dimension of the modules) of arithmetic operations in the field k.

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 $g \cdot v = w$ gives a system of polynomial equations for $g \in G$ Let $I \subseteq k[G]$ be the ideal generated by these polynomials

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Isomorphism Test

v, w in the distinct *G*-orbits $\Leftrightarrow 1 \in I$

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If G is fixed, then one can test whether $1 \in I$ efficiently: the number of arithmetic operations in k required is polynomial in n and the degrees of the polynomials defining the representation V.

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In many interesting examples, such as the graph isomorphism problem, G is not fixed.

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One can use Buchberger's algorithm to test whether $1 \in I$, but this may not be efficient.

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- **3** If v, w are isomorphic in $C_{d+1}(V)$, then they are isomorphic in $C_d(V)$

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- If v, w are isomorphic in $C_d(V)$ for all $d \ge 1$, then v and w are in the same *G*-orbit

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- **3** If v, w are isomorphic in $C_{d+1}(V)$, then they are isomorphic in $C_d(V)$
- If v, w are isomorphic in $C_d(V)$ for all $d \ge 1$, then v and w are in the same G-orbit
- There exists an efficient algorithm to determine if v and w are isomorphic in C_d(V)

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Truncated Ideals

Suppose that R is a finitely generated commutative k-algebra (with 1) with a filtration

$$R_0 = k \subseteq R_1 \subseteq R_2 \subseteq \cdots$$

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If $S \subseteq R_d$ then we define

$$(S)_d = \sum_{e=0}^d (S \cap R_e) R_{d-e}.$$

We call $S \subseteq R_d$ a *d*-truncated ideal if $(S)_d = S$.

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The sequence

$$(S)_d \subseteq ((S)_d)_d \subseteq (((S)_d)_d)_d \subseteq \cdots$$

stabilizes to a *d*-truncated ideal which will be denoted by $((S))_d$.

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Define a filtration by $R = \bigcup_d R_d$, where $R_d = W^d$

Let $\Delta: \mathcal{K}[G] \to \mathcal{K}[G] \otimes \mathcal{K}[G]$ be the co-multiplication of $\mathcal{K}[G]$

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Then $\Delta(R_d) \subseteq R_d \otimes R_d$

So R_d^{\star} is an associative algebra

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The category $\mathcal{C}_d(V)$

Objects

Objects in $C_d(V)$ are affine subspaces of the form v + Z with $v \in V$ and $Z \subseteq V$ a subspace.

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Suppose that $X_1 = v_1 + Z_1$ and $X_2 = v_2 + Z_2$ are objects. The equation

$$g \cdot X_1 \subseteq X_2$$

gives a system of polynomials $S(X_1, X_2) \subset R_d$ Define $I_d(X_1, X_2) = ((S(X_1, X_2)))_d$

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Morphisms

We define $\operatorname{Hom}_d(X_1, X_2) = (R_d/I_d(X_1, X_2))^*$. The bilinear map $\operatorname{Hom}_d(X_1, X_2) \times \operatorname{Hom}_d(X_2, X_3) \to \operatorname{Hom}_d(X_1, X_3)$ is the restriction of the multiplication $R_d^* \times R_d^* \to R_d^*$.

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• $T = \text{Hom}_d(X_1, X_1)$ is a finite dim. associative algebra If T and $\text{Hom}_d(X_2, X_1)$ are not isomorphic as T-modules, then X_1 and X_2 are not isomorphic

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- We can test whether two *T*-modules are isomorphic efficiently, and if *T* and Hom_d(X₂, X₁) are isomorphic, we can compute an isomorphism φ : Hom_d(X₁, X₁) → Hom_d(X₂, X₁)

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- Let f = φ(id). Then X₁ and X₂ are isomorphic if and only if f is an isomorphism. This is easy to test.

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The Graph isomorphism is in **NP**, but it is not known whether it is in **P**. In other words, it is not known whether there exists an algorithm that can determine if two graphs with *n* vertices are isomorphic in $O(n^m)$ time, for some fixed *m*.

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If the graphs have bounded valence, then there exists a polynomial time algorithm (Luks '82).

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Another well-known algorithm is the *d*-dimensional Weisfeiler-Lehman algorithm (60's).

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Idea: color *i* tuples in X^i for $i \le d$ recursively until a stable coloring is obtained.

For fixed d, this algorithm is polynomial time in n.

The stable coloring is invariant under Aut(X). If Γ_1, Γ_2 are distinct graphs, then we can take Γ as the disjoint union. If a vertex of Γ_1 get a color that does not appear in Γ_2 , then Γ_1 and Γ_2 are not isomorphic.

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We can think of a graph $\Gamma = (X, E)$ as a structure, and to this structure we can associate the first order logic. In the *d*-variable language L_d , we only allow *d* variables to be used (but one may re-use variables)

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For example:

$$\varphi(x_1, x_2) = \exists x_3 [\exists x_2 E(x_1, x_2) \land E(x_2, x_3)] \land E(x_3, x_2)$$

says " x_1 and x_2 are connected by a path of length 3". The formula uses 3 variables (x_2 has been re-used).

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In the *d*-variable first order language with counting C_d , we allow also quantors that can count.

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In the *d*-variable first order language with counting C_d , we allow also quantors that can count.

 $\exists_I x$ means "there exist exactly I values for x such that"

For example

$$\psi(\mathbf{x}_1) = \exists_{37} \mathbf{x}_2 \, \varphi(\mathbf{x}_1, \mathbf{x}_2)$$

means " there are exactly 37 vertices that can be connected to x_1 by a path of length 3".

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Theorem

The *d*-dimensional Weisfeiler-Lehman algorithm can distinguish two graphs Γ_1, Γ_2 if and only if there exists a closed formula ψ in the (d + 1)-variable logic with counting such that ψ is true for Γ_1 but not for Γ_2 .

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Theorem (CFI)

For every *d* there exists two non-isomorphic graphs Γ_1 and Γ_2 such that for every formula ψ in \mathbf{C}_{d+1} , ψ is true for Γ_1 if and only if ψ is true for Γ_2 . So the *d*-dimensional Weisfeiler-Lehman algorithm cannot distinguish Γ_1 and Γ_2 .

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Theorem

Assume that k has characteristic 0 or > n. If A_1, A_2 are isomorphic in $C_d(V)$, then the (d - 1)-dimensional Weisfeiler-Lehman algorithm cannot distinguish the graphs Γ_1, Γ_2 .

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For fixed *d*, isomorphisms in $C_d(V)$ can be checked using a polynomial number of arithmetic operations in *k*. If $k = \mathbb{F}_p$ and p = O(n) then isomorphism can be checked in polynomial time.

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Theorem

Assume that k has characteristic 0 or > n. If A_1, A_2 are isomorphic in $C_d(V)$, then the (d - 1)-dimensional Weisfeiler-Lehman algorithm cannot distinguish the graphs Γ_1, Γ_2 .

For fixed *d*, isomorphisms in $C_d(V)$ can be checked using a polynomial number of arithmetic operations in *k*. If $k = \mathbb{F}_p$ and p = O(n) then isomorphism can be checked in polynomial time. So our algorithm is at least as powerful as the Weisfeiler-Lehman algorithm.

Distinguishing the CFI graphs in polynomial time

Suppose that Γ_1,Γ_2 is a pair of non-isomorphic graphs in the Cai-Fürer-Immerman family.

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Distinguishing the CFI graphs in polynomial time

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Theorem

If $k = \mathbb{F}_2$ then A_1, A_2 are *not* isomorphic in $C_3(V)$.

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Distinguishing the CFI graphs in polynomial time

Suppose that Γ_1,Γ_2 is a pair of non-isomorphic graphs in the Cai-Fürer-Immerman family.

Theorem

If $k = \mathbb{F}_2$ then A_1, A_2 are *not* isomorphic in $C_3(V)$.

So using our algorithm distinguishes these graphs in polynomial time, but the Weisfeiler-Lehman algorithm cannot distinguish these graphs in polynomial time.

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Why is our algorithm more powerful?

It is hard to say "the rank of the adjacency matrix (over the field \mathbb{F}_p) of Γ has rank r. One cannot express such a sentence in \mathbf{C}_d for small d.

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Our algorithm captures a "logic" that is more powerful. For d = 3 one can already express that the adjacency matrix has rank r.

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The CFI graphs can easily be distinguished, because their adjacency matrices have canonical submatrices with distinct ranks (when working over \mathbb{F}_2).

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Can our algorithm distinguish the CFI graphs in polynomial time if we work over fields of characteristic $\neq 2$?

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Can our algorithm distinguish graphs of bounded valence in polynomial time?

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Can our algorithm distinguish the CFI graphs in polynomial time if we work over fields of characteristic $\neq 2$?

Can our algorithm distinguish graphs of bounded valence in polynomial time?

(Wishful thinking)

Can our algorithm distinguish all graphs in polynomial time?

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